

Enumeration of unlabeled graph classes

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and Clément Requilé



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Labeled vs unlabeled

A graph with n vertices is **labeled** if each vertex carries a different label in $\{1, 2, \dots, n\}$.

Labeled vs unlabeled

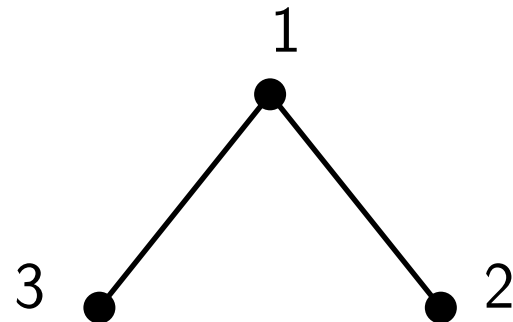
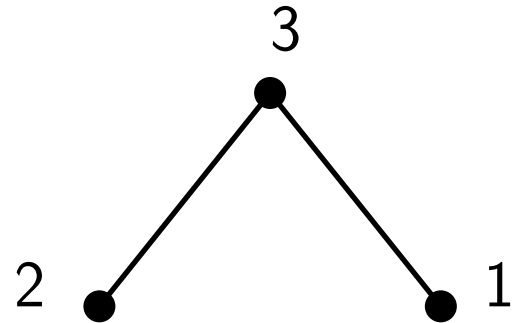
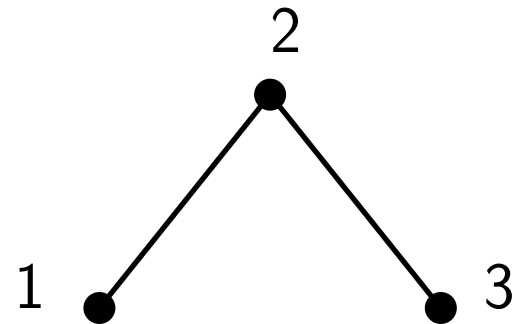
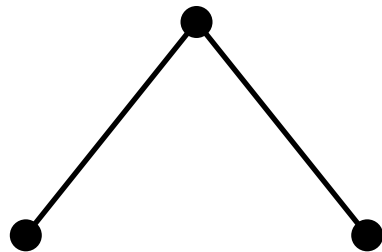
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The symbolic method

Our goal is to determine the number of graphs in the family with size n .

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Definition. A **combinatorial class** is a pair $(\mathcal{A}, |\cdot|)$ where

- $\mathcal{A}$ is a family of combinatorial objects,
- $|\cdot| : \mathcal{A} \rightarrow \mathbb{N}$ is a size function,
- The number of objects with size n is $a_n < \infty$.

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$$A(x) = \sum_{n \geq 0} a_n x^n.$$

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$$A(x) = \sum_{n \geq 0} \frac{a_n}{n!} x^n.$$

Suitable for labeled classes.

The symbolic method

Operations between **classes** translate into relations involving their **generating functions**.

Combinatorial class	Generating function
$\mathcal{A} \cup \mathcal{B}$	$A(x) + B(x)$
$\mathcal{A} \times \mathcal{B}$	$A(x) \cdot B(x)$
$\mathcal{A} \circ \mathcal{B}$	$A(B(x))$
$\text{Seq}(\mathcal{A})$	$\frac{1}{1 - A(x)}$
$\text{Set}(\mathcal{A})$	$\exp(A(x))$

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The growth of the coefficients of generating functions can be studied by considering them as complex variable functions and analysing their singularities. This is called **Analytic Combinatorics** [Flajolet & Sedgewick (2009)]

Labeled trees

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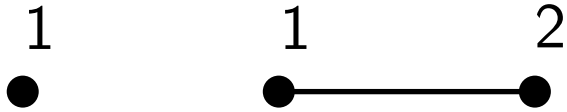
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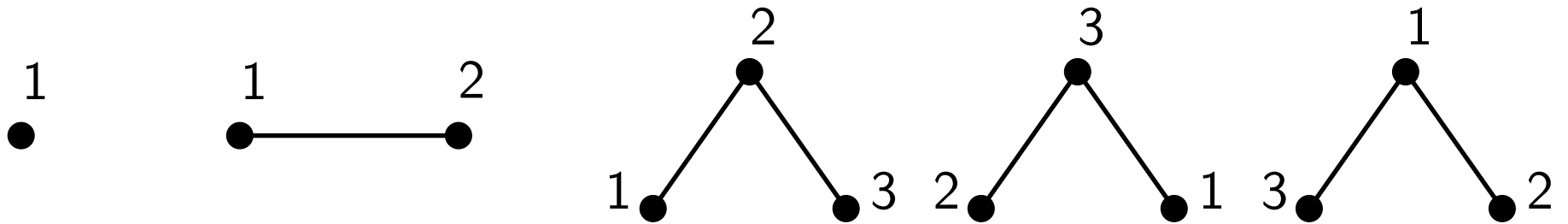
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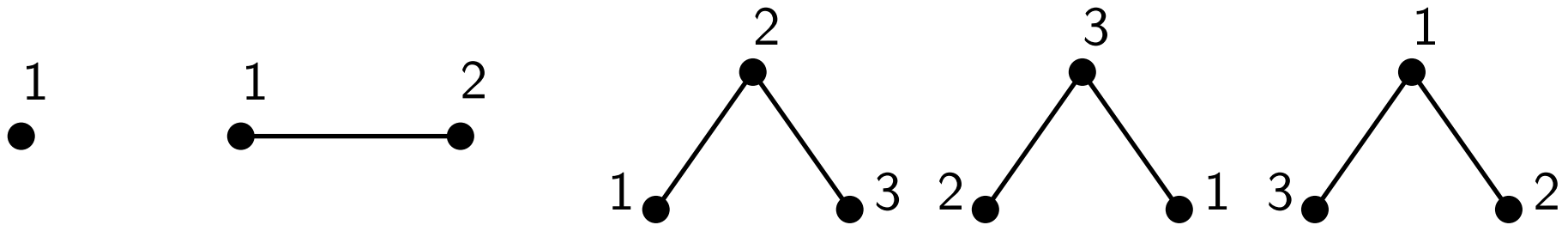
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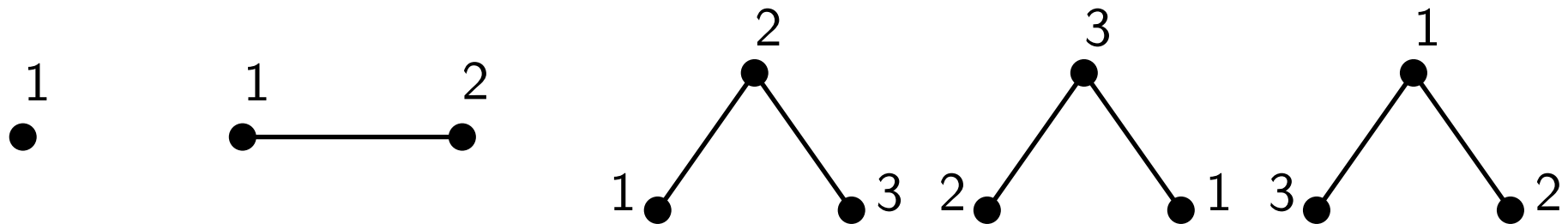


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Rooting. Let $\mathcal{T}^\bullet$ be the class of rooted labeled trees.

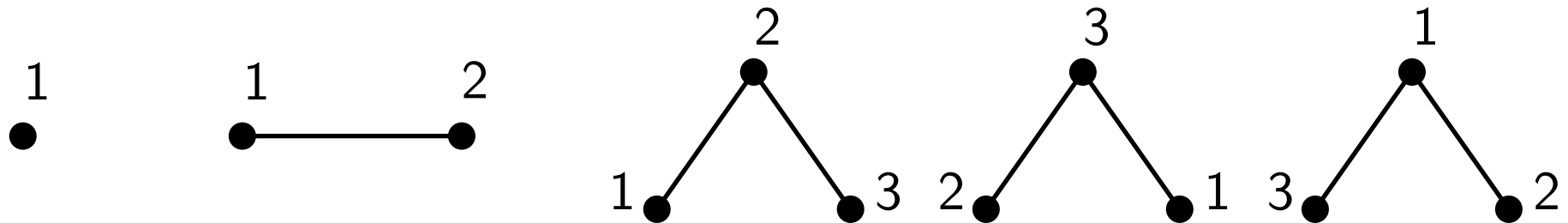
Since all vertices are distinguishable, there are n ways to root a tree with n vertices. Thus,

$$T^\bullet(x) = \sum_{n \geq 0} n \frac{t_n}{n!} x^n = xT'(x).$$

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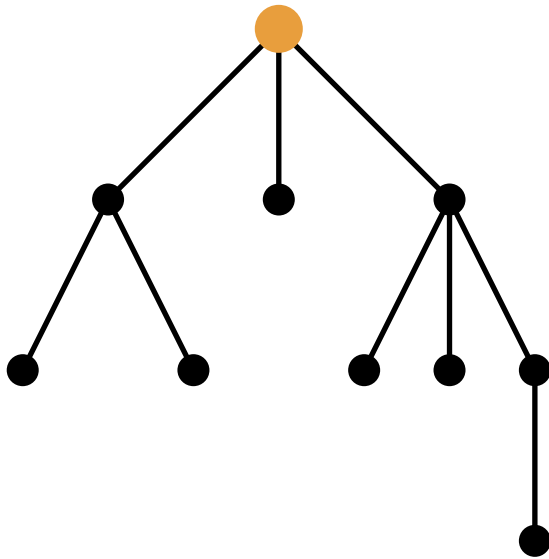
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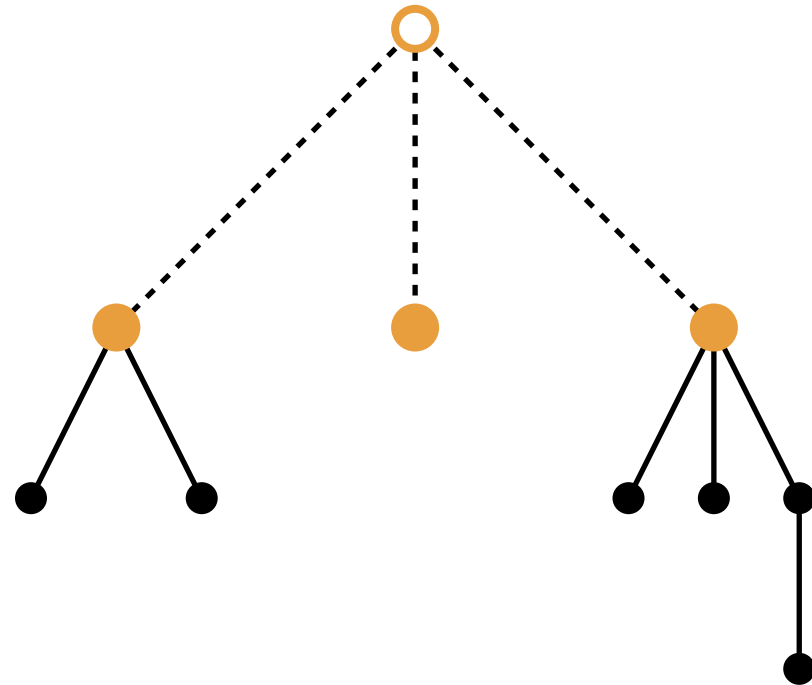
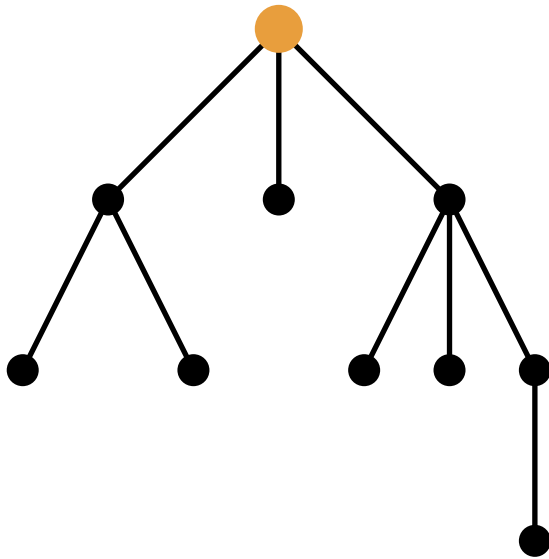
Unrooting. To do the inverse operation, we can simply integrate:

$$T(x) = \int T^\bullet(x)/x \, dx.$$

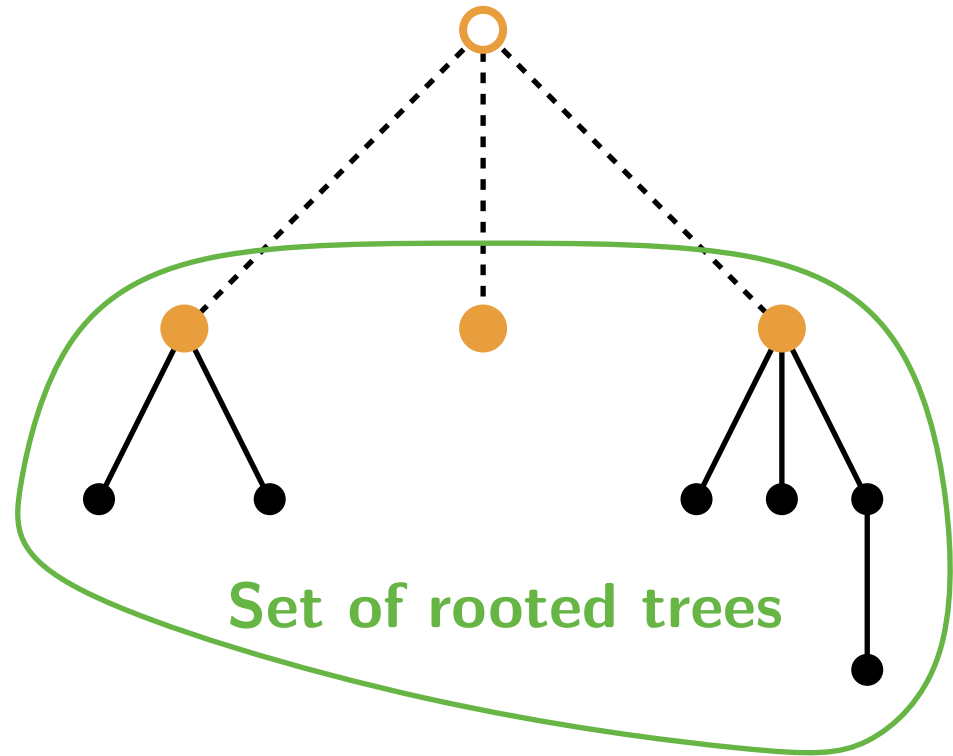
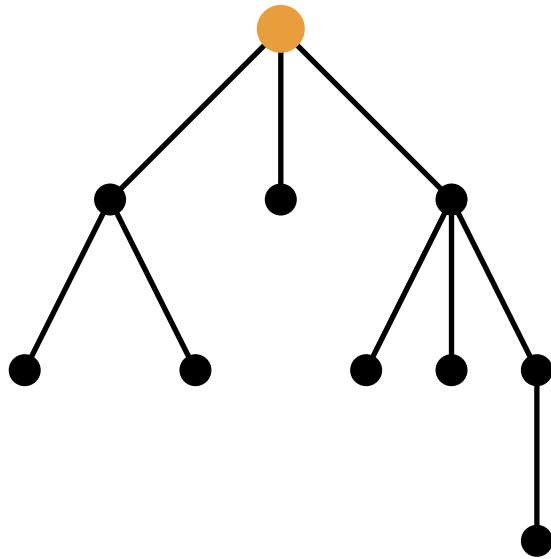
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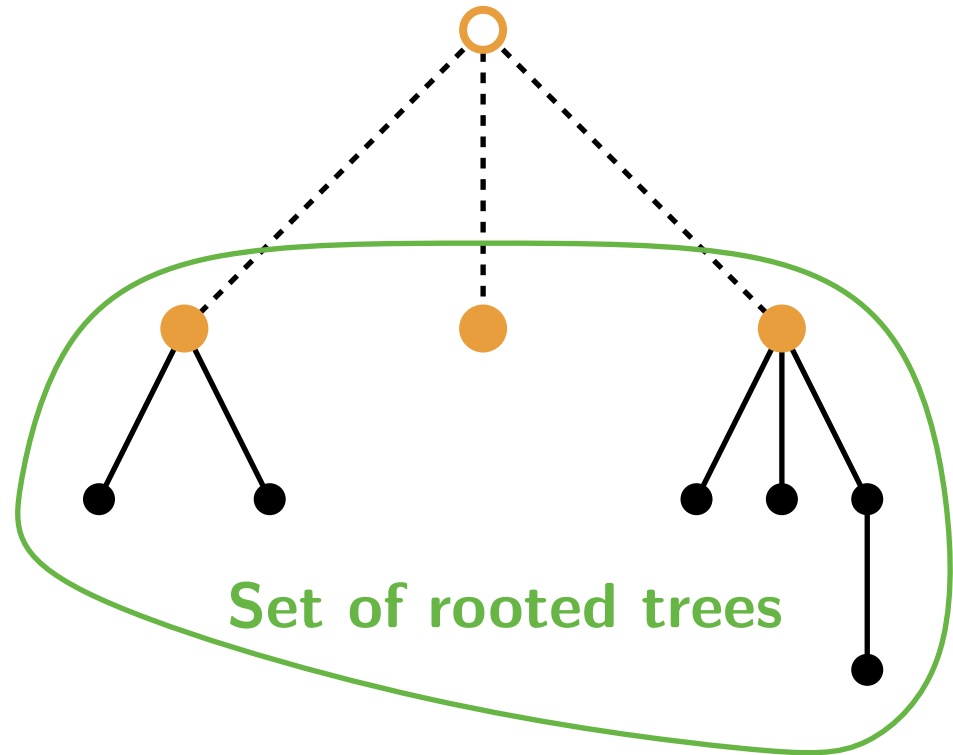
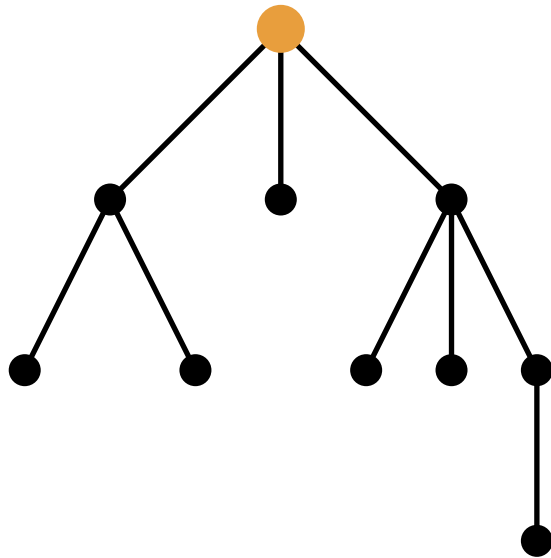
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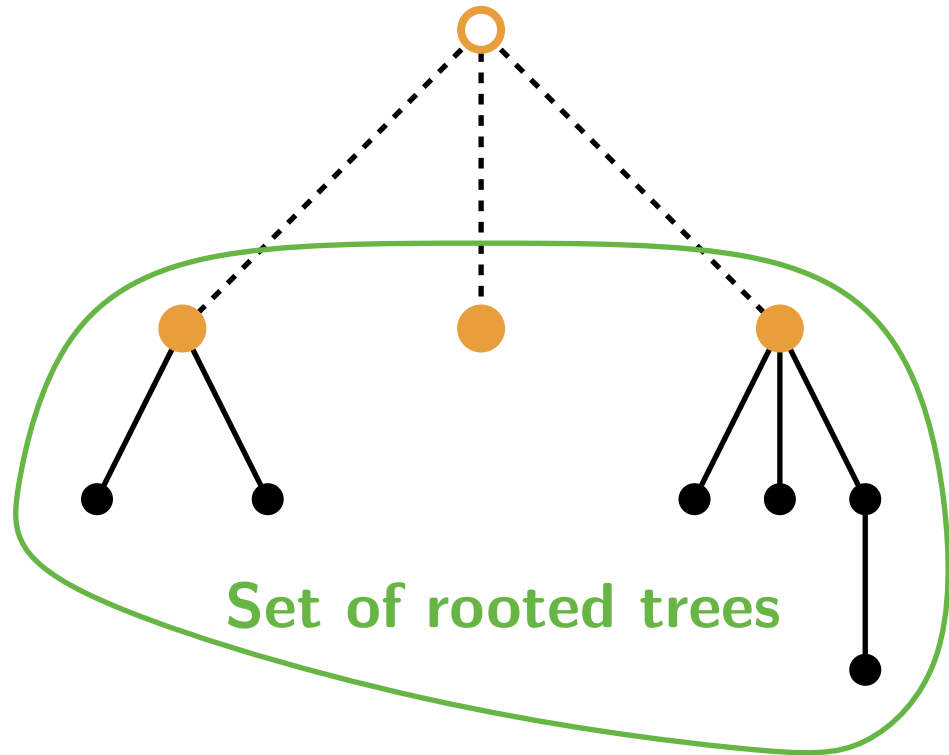
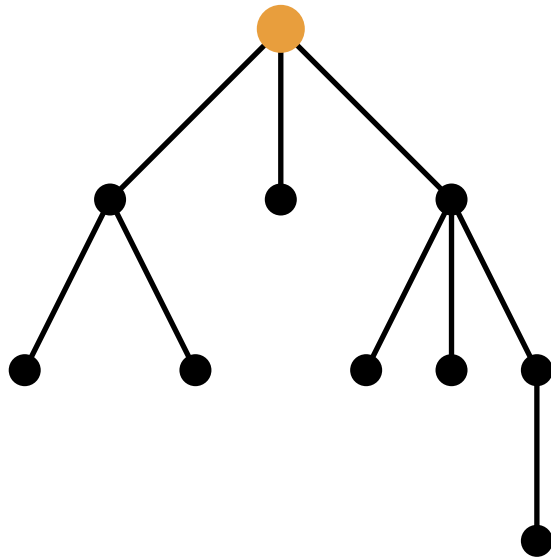
Labeled trees



Implicit equation: $\mathcal{T}^\bullet = \{\text{root}\} \times \text{Set}(\mathcal{T}^\bullet)$, which gives

$$T^\bullet(x) = x \exp(T^\bullet(x)) = x + x^2 + \frac{3x^3}{2} + \dots$$

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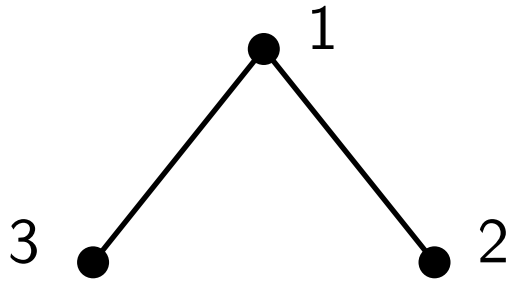
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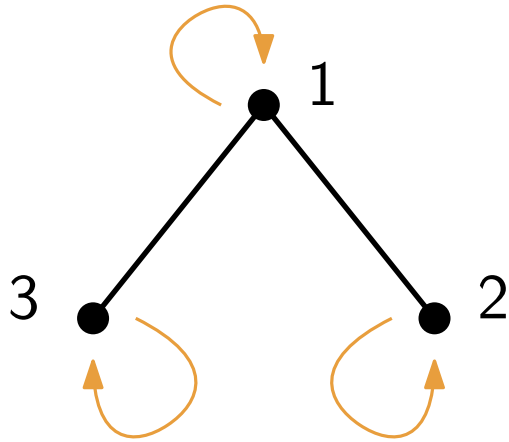
By using the **Lagrange inversion formula** we obtain:

$$|\mathcal{T}_n^\bullet| = n! [x^n] T^\bullet(x) = n^{n-1} \implies |\mathcal{T}_n| = |\mathcal{T}_n^\bullet|/n = n^{n-2}.$$

Pólya theory

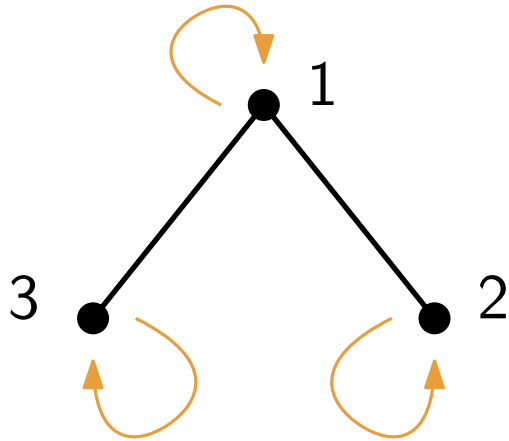


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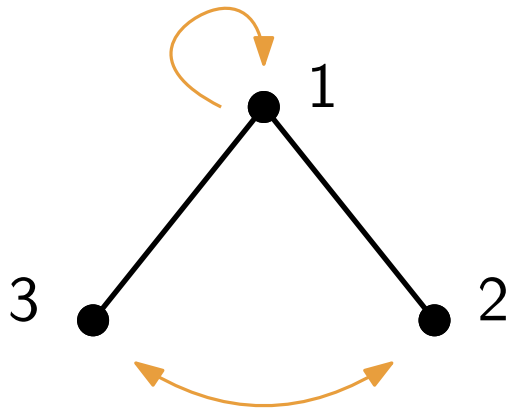


$$(1)(2)(3) \longrightarrow s_1^3$$

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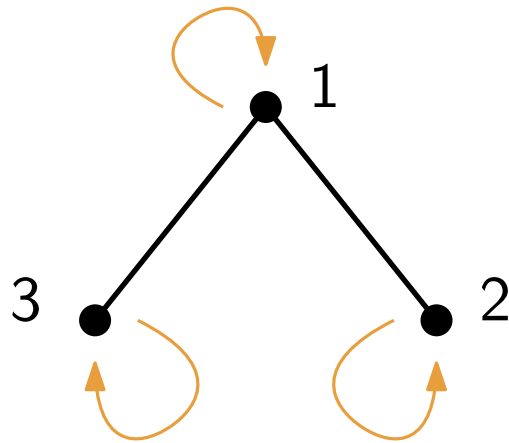


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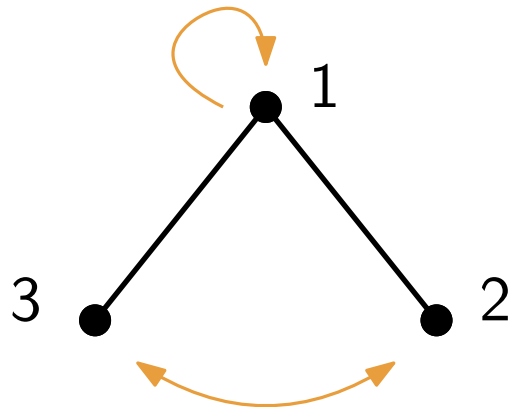


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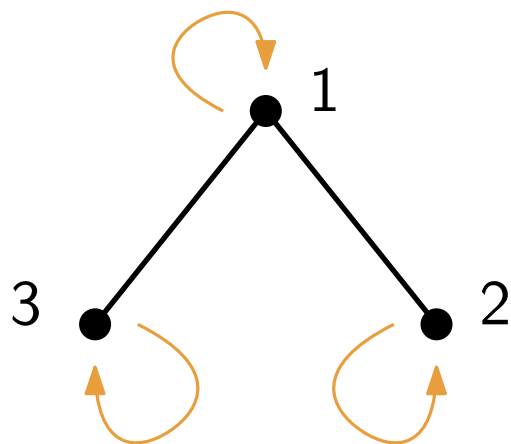
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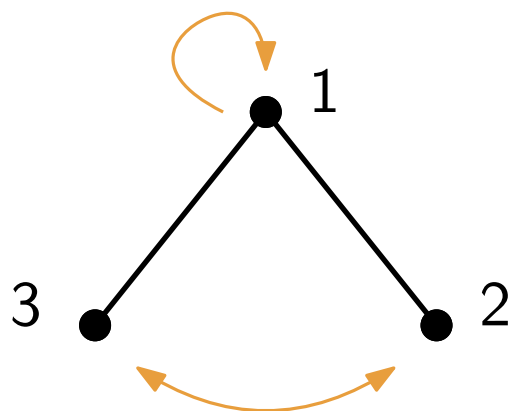
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$$\frac{1}{3!}(s_1^3 + s_1 s_2)$$

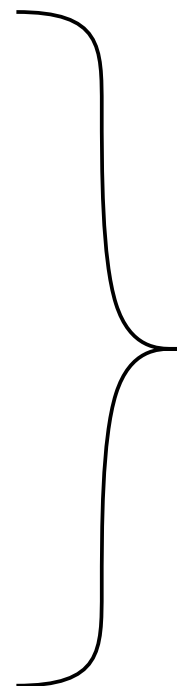
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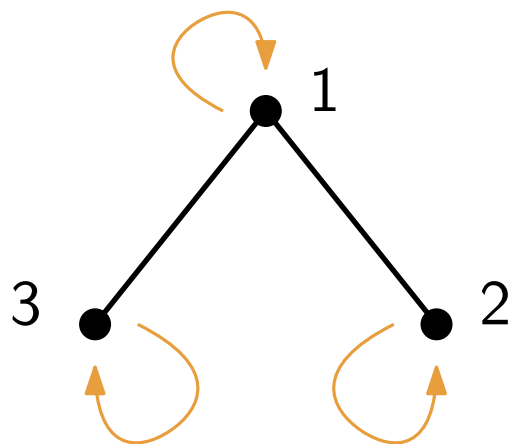
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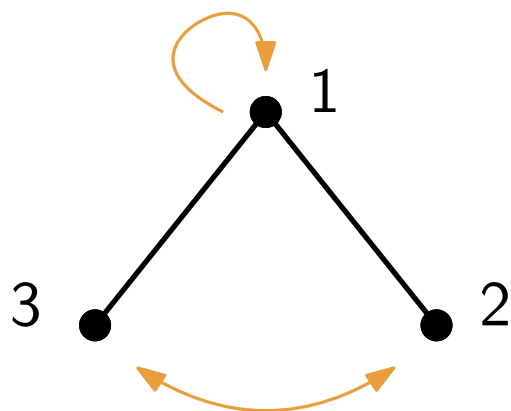
3 labeled graphs
in the class

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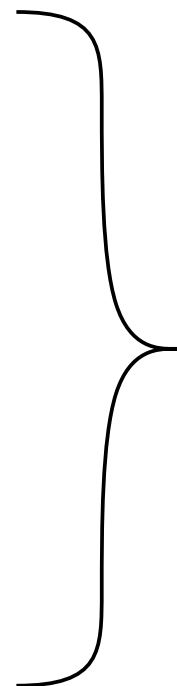
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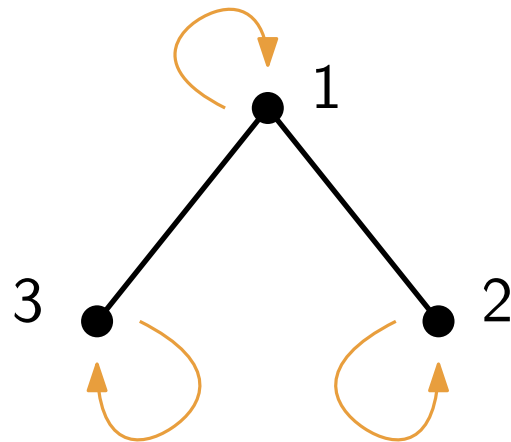
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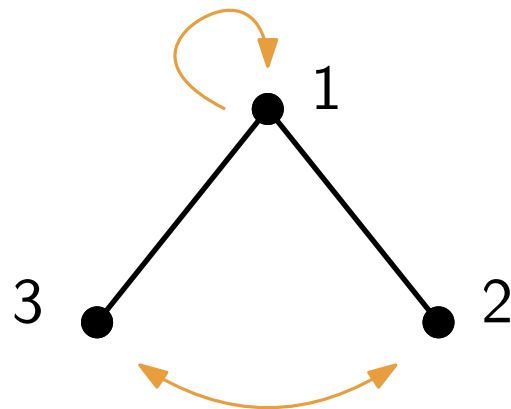
Cycle index sum

$$Z_G(s_1, s_2, s_3, \dots)$$

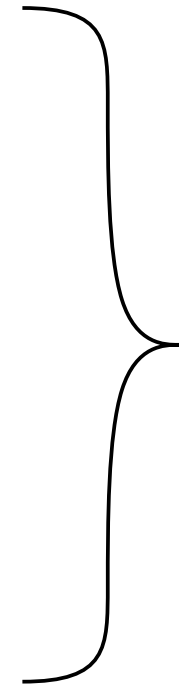
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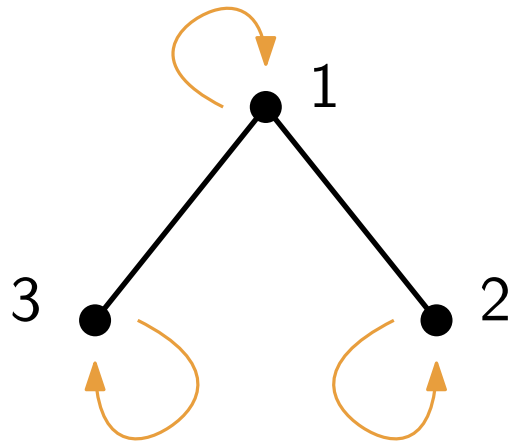
Cycle index sum
 $Z_{\mathcal{G}}(s_1, s_2, s_3, \dots)$

Theorem [Pólya (1937)]

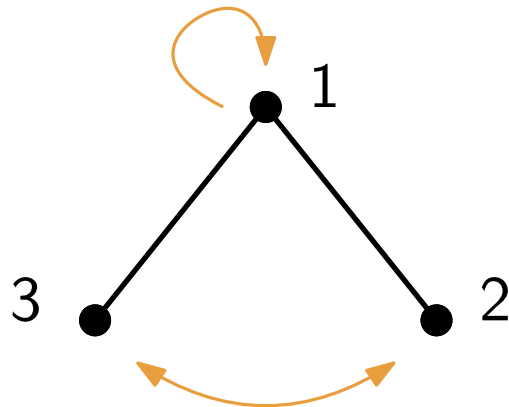
The OGF of the unlabeled class $\tilde{\mathcal{G}}$ is given by

$$\tilde{G}(x) = Z_{\mathcal{G}}(x, x^2, x^3, \dots).$$

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$$\tilde{G}(x) = Z_G(x, x^2, x^3, \dots).$$

In this example,

$$G(x) = \frac{3}{3!}(x^3 + x \cdot x^2) = x^3$$

Pólya theory

Operations between **classes** translate into relations involving their **cycle index sums**.

Class	Cycle index sum
$\mathcal{A} \cup \mathcal{B}$	$Z_{\mathcal{A}}(s_1, s_2, s_3, \dots) + Z_{\mathcal{B}}(s_1, s_2, s_3, \dots)$
$\mathcal{A} \times \mathcal{B}$	$Z_{\mathcal{A}}(s_1, s_2, s_3, \dots) \cdot Z_{\mathcal{B}}(s_1, s_2, s_3, \dots)$
$\mathcal{A} \circ \mathcal{B}$	$Z_{\mathcal{A}}(Z_{\mathcal{B}}(s_1, s_2, s_3, \dots), Z_{\mathcal{B}}(s_2, s_4, s_6, \dots), \dots)$
$\text{Seq}(\mathcal{A})$	$\frac{1}{1 - Z_{\mathcal{A}}(s_1, s_2, s_3, \dots)}$
$\text{Set}(\mathcal{A})$	$\exp \left(\sum_{k \geq 1} \frac{1}{k} Z_{\mathcal{A}}(s_k, s_{2k}, s_{3k}, \dots) \right)$

Unlabeled trees

Pólya trees: rooted, unlabeled trees.

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Theorem. [Pólya (1937)]

The OGF $P(x)$ of Pólya trees is given by

$$P(x) = x \exp\left(P(x) + \frac{P(x^2)}{2} + \frac{P(x^3)}{3} + \dots\right).$$

As $n \rightarrow \infty$ we have

$$[x^n]P(x) \sim \frac{b\sqrt{\rho}}{2\sqrt{\pi}} \cdot n^{-3/2} \cdot \rho^{-n},$$

with $b \approx 2.681127$ and $\rho \approx 0.338219$.

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What about unrooted unlabeled trees?

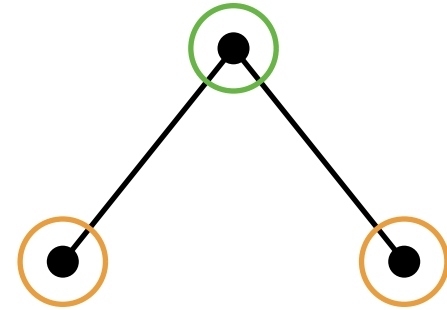
Unlabeled trees

Problem!

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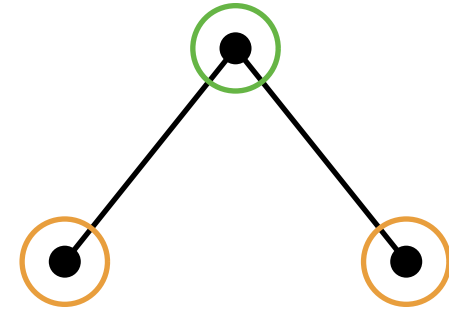
Rooting is biased in unlabeled graphs.
Not every unlabelled graph of size n gives
rise to n rooted graphs.



Unlabeled trees

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Theorem. [Otter (1948)]

The OGF $U(x)$ of unlabeled trees is given by

$$U(x) = P(x) + \frac{1}{2}(P(x^2) - P(x)^2).$$

As $n \rightarrow \infty$ we have

$$[x^n]P(x) \sim \frac{b^3 \rho^{3/2}}{4\sqrt{\pi}} \cdot n^{-3/2} \cdot \rho^{-n},$$

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Proof. Using the **dissymmetry theorem**.

The dissymmetry theorem

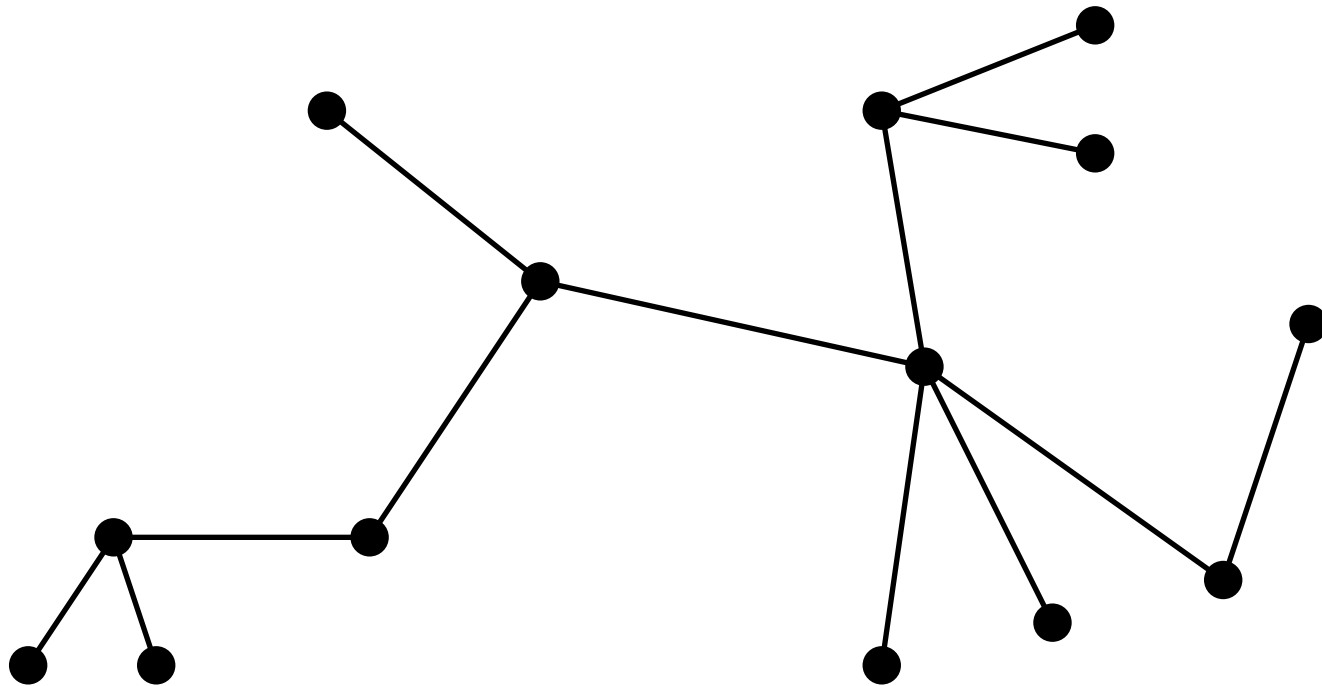
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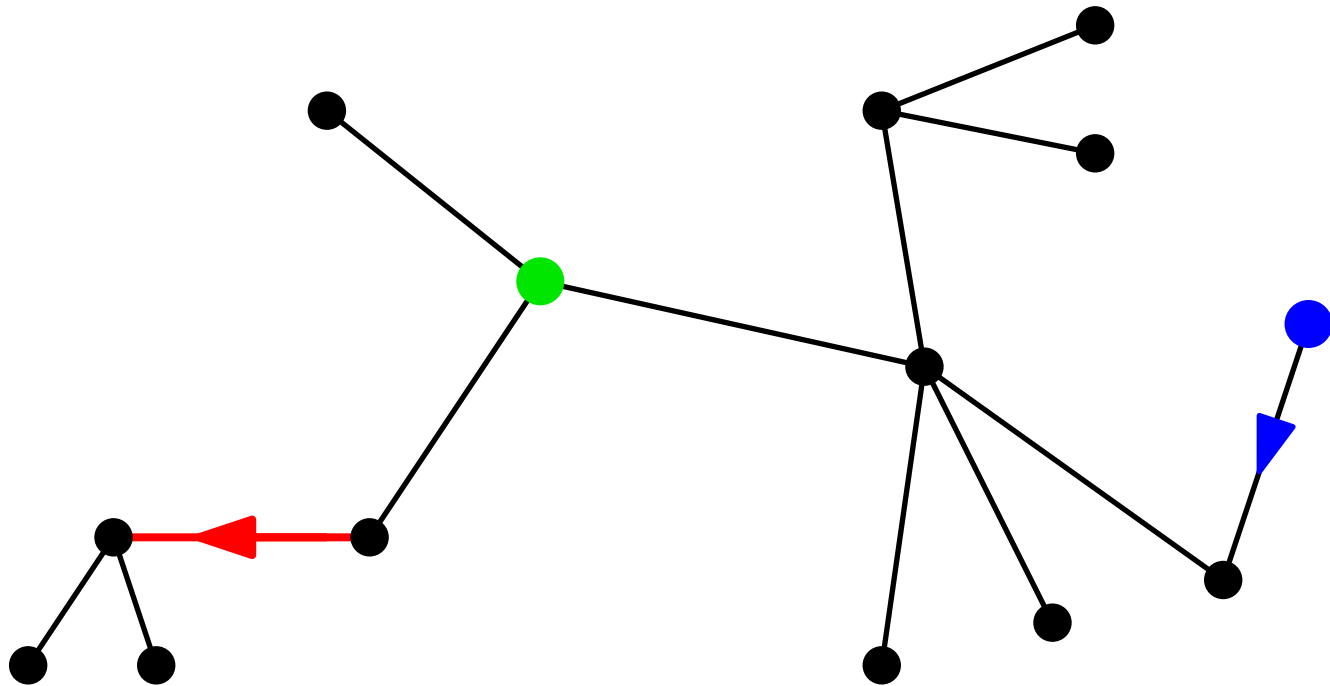
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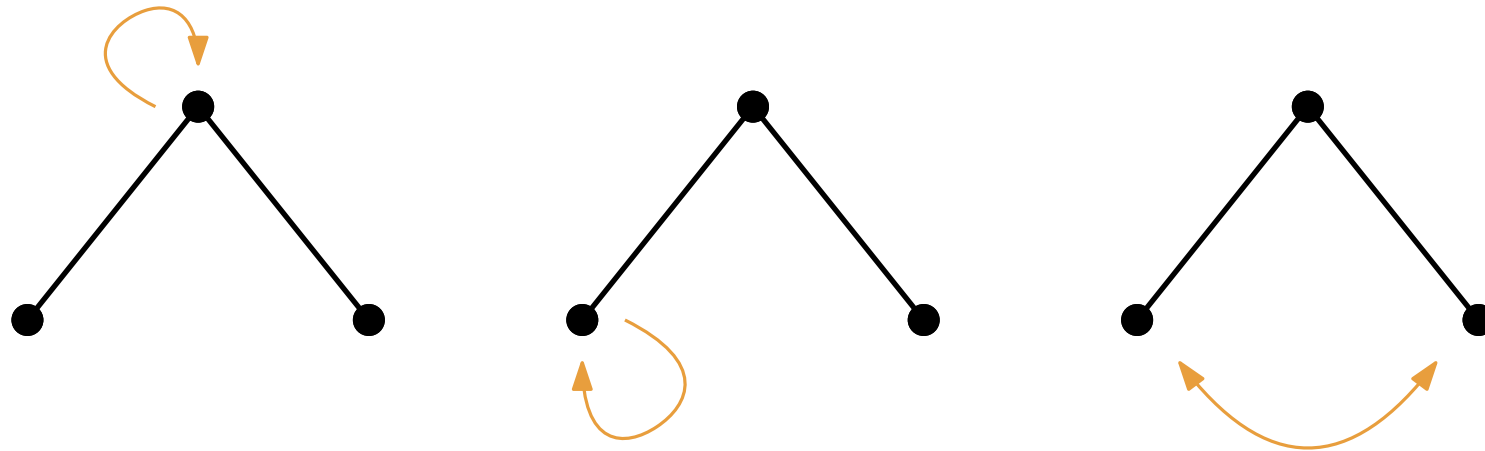
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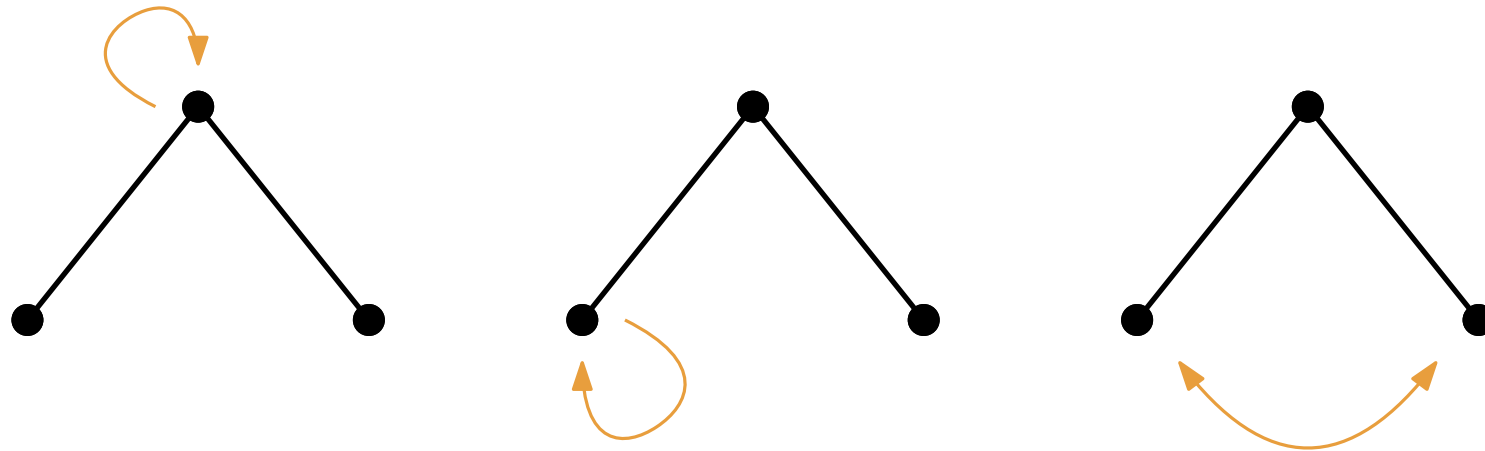


Cycle-pointing



Definition. A **cycle-pointed graph** is a pair (G, c) where $G \in \mathcal{G}$ is an unlabelled graph and c is a cycle of some automorphism of G .

Cycle-pointing

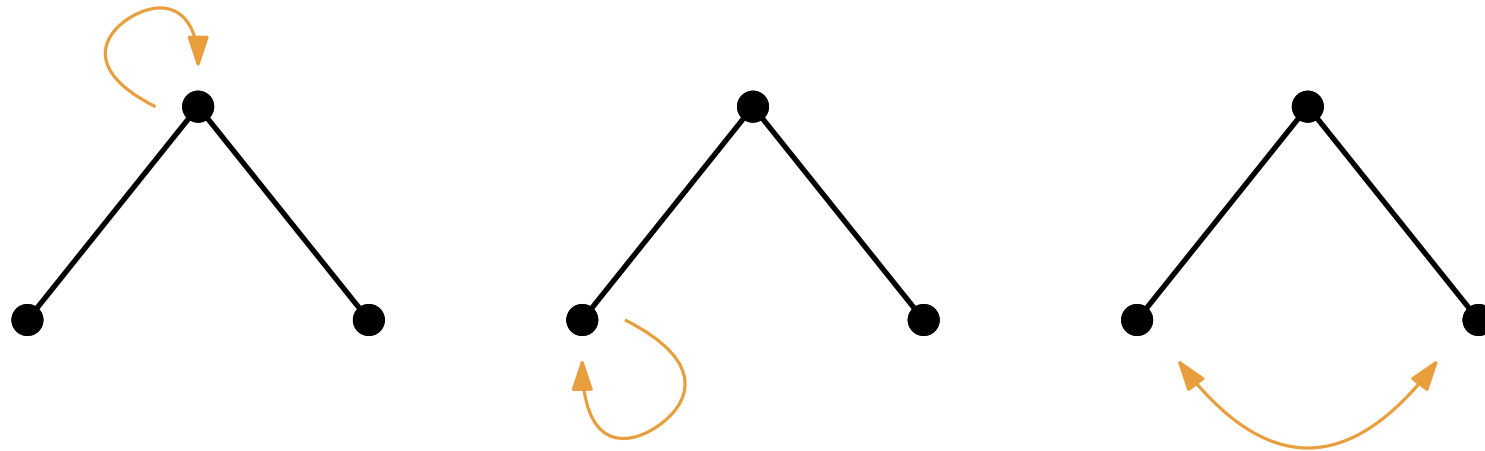


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Theorem. [Bodirsky, Fusy, Kang & Vigerske (2007)]

Every unlabelled graph $G \in \mathcal{G}$ of size n admits exactly n cycle-pointings.

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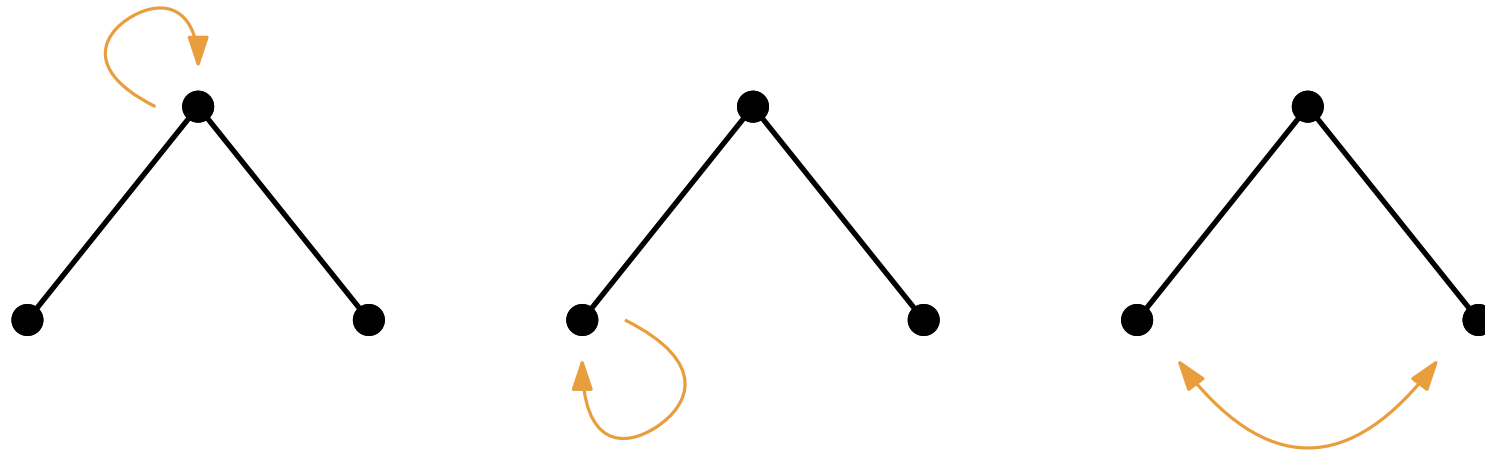
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Every unlabelled graph $G \in \mathcal{G}$ of size n admits exactly n cycle-pointings.

An unbiased rooting (pointing) operator!

They extend Pólya theory to cycle-pointed graphs. In particular, they manage to unroot Pólya trees via cycle-pointing and they recover Otter's formula.

Beyond trees

What is a tree?

Beyond trees

What is a tree?

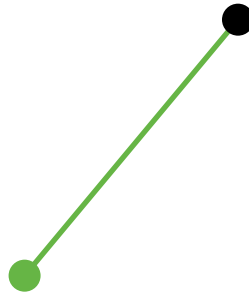
Iteratively add a new vertex connected to an existing vertex.



Beyond trees

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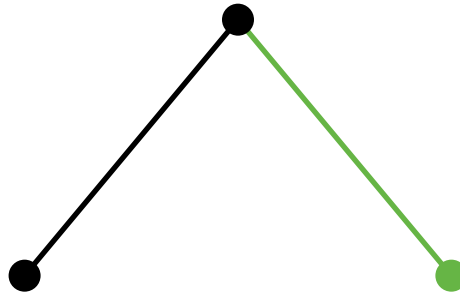
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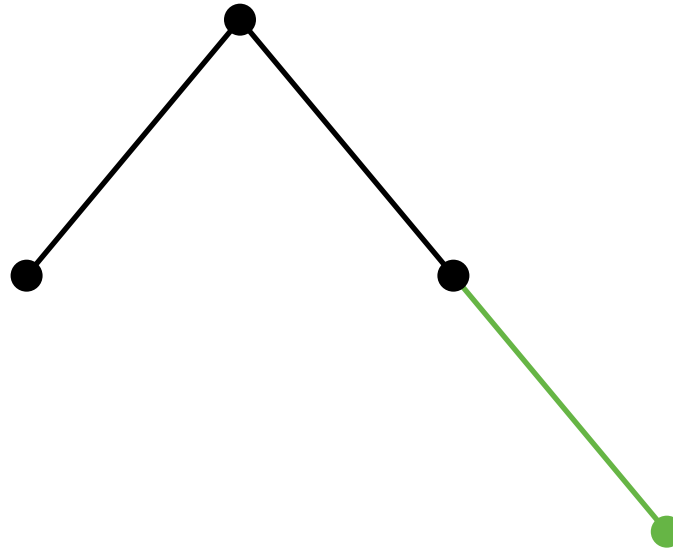
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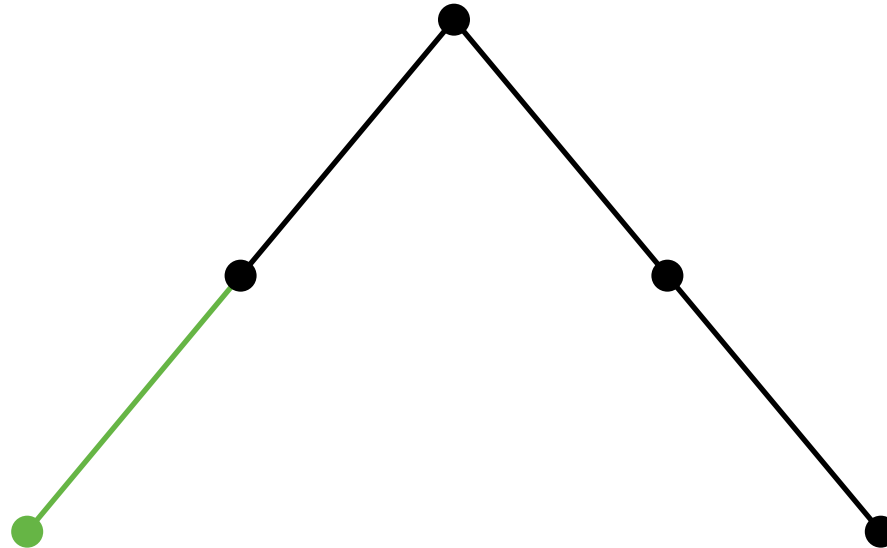
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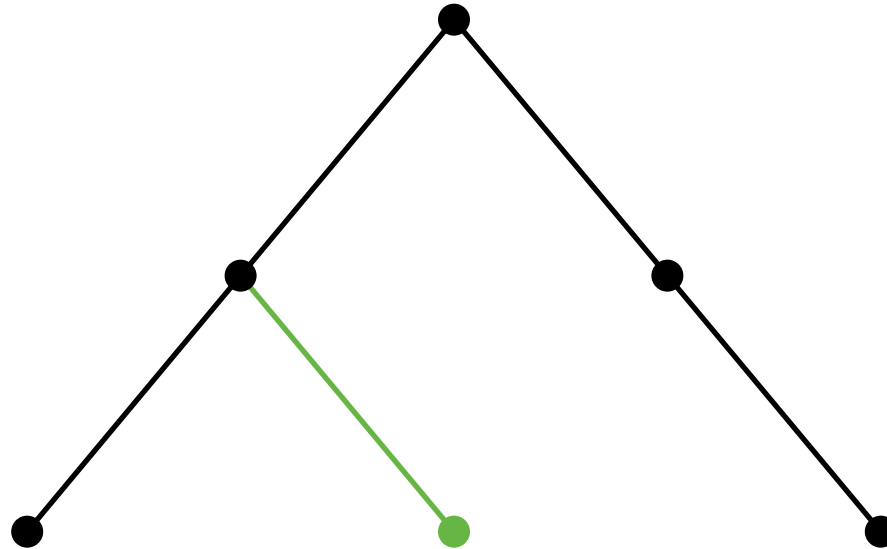
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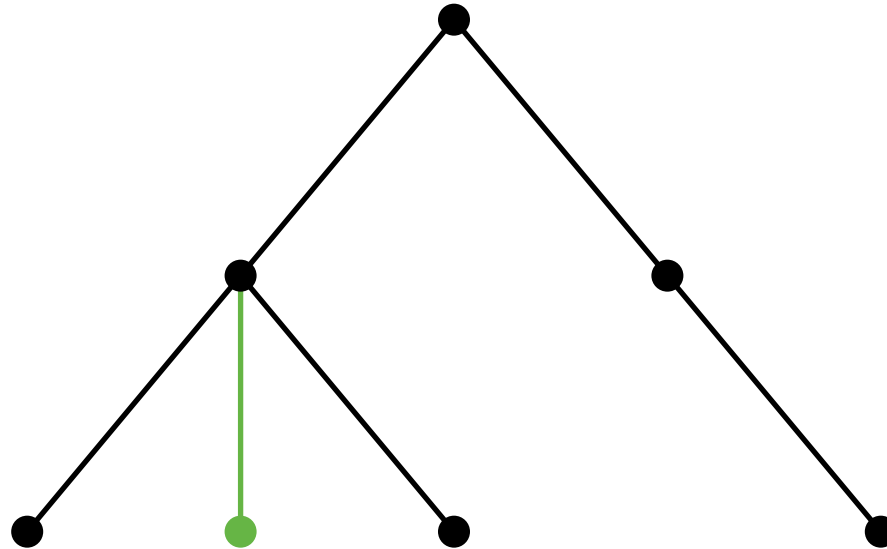
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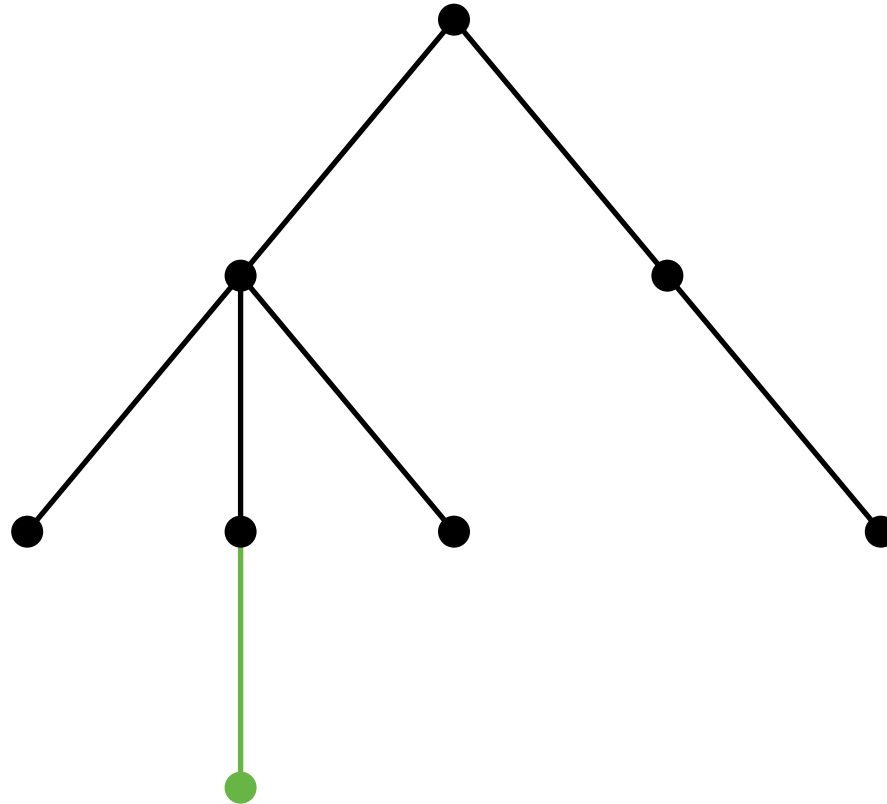
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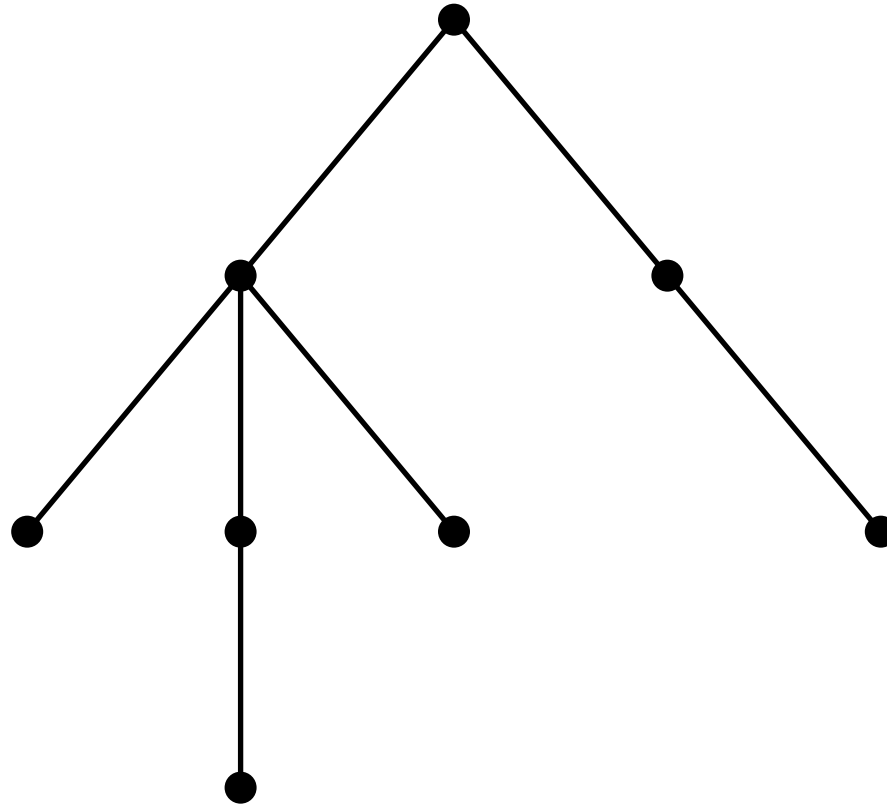
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Beyond trees

Iteratively add a new vertex connected to the vertices of an existing **edge**.

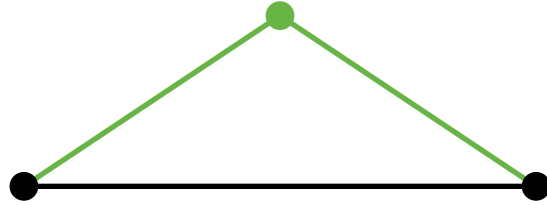
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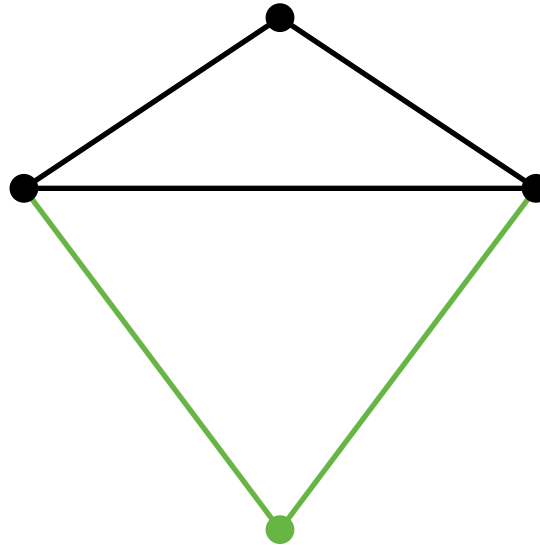
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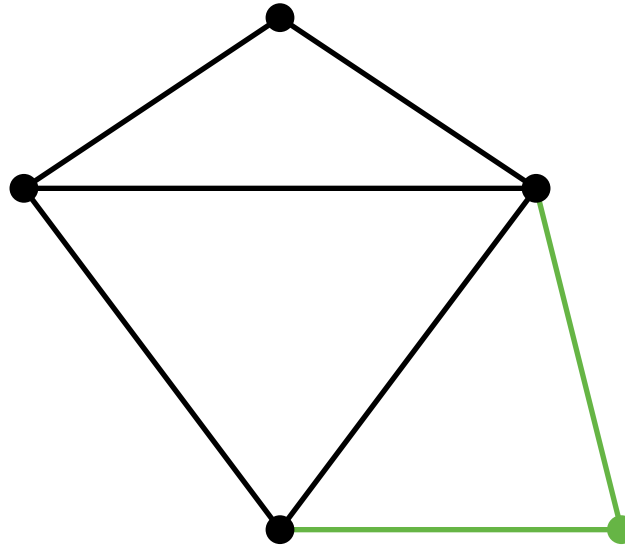
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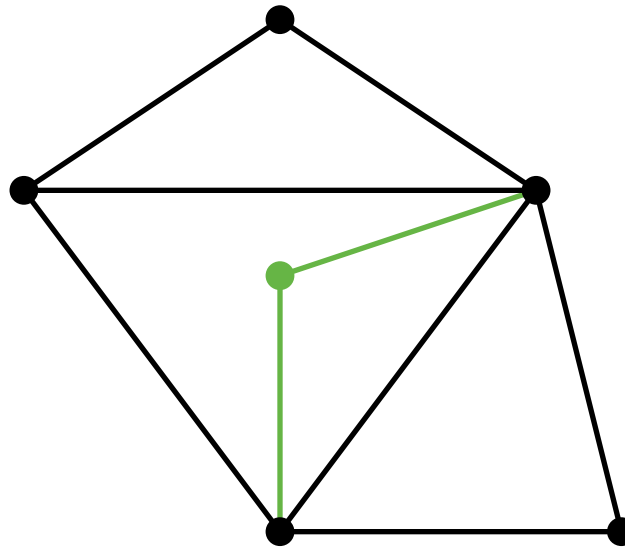
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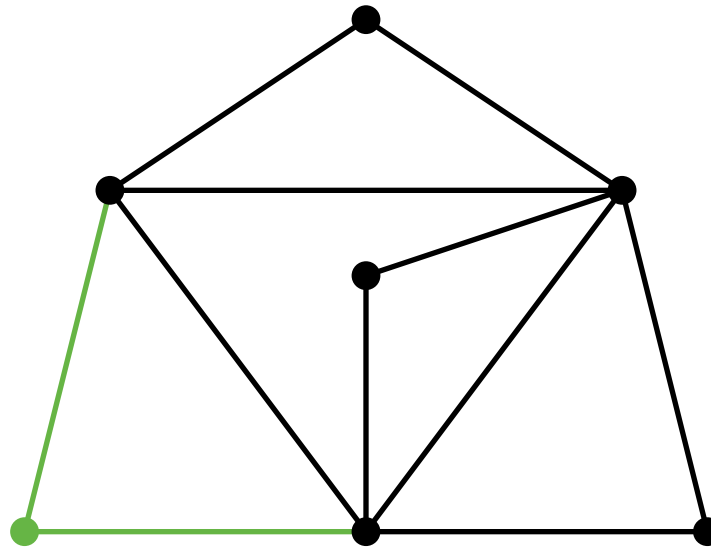
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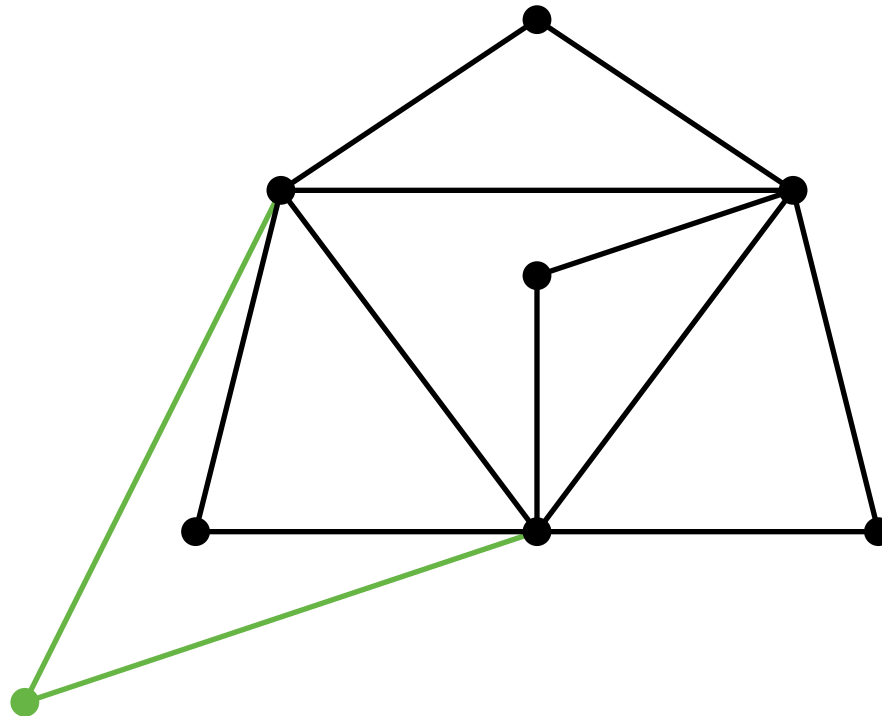
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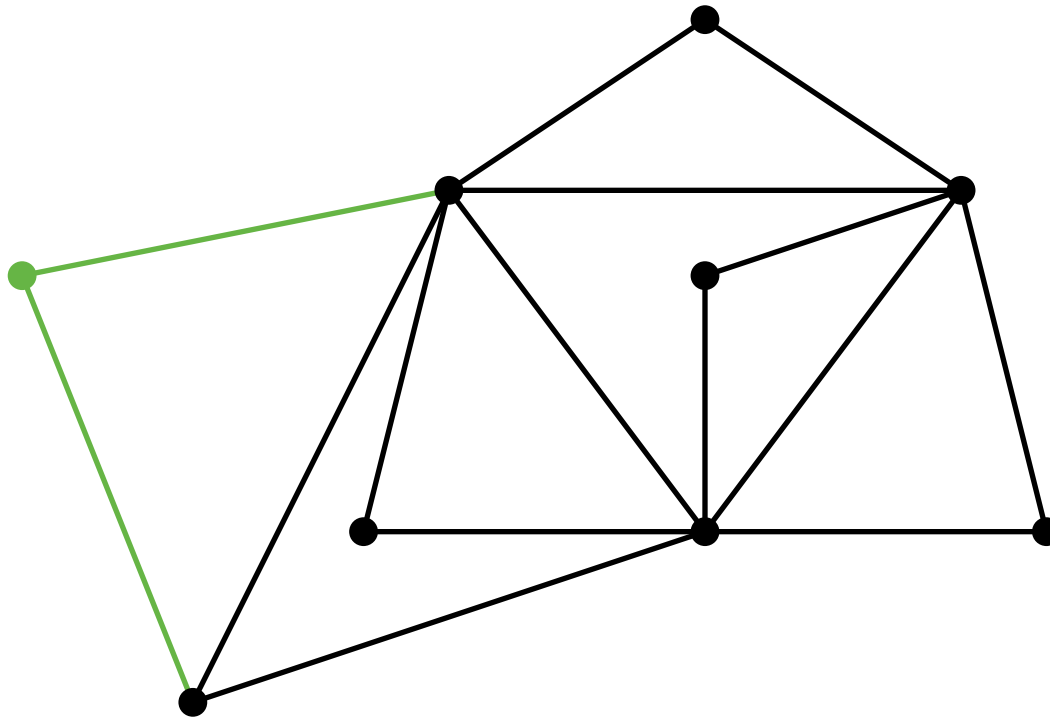
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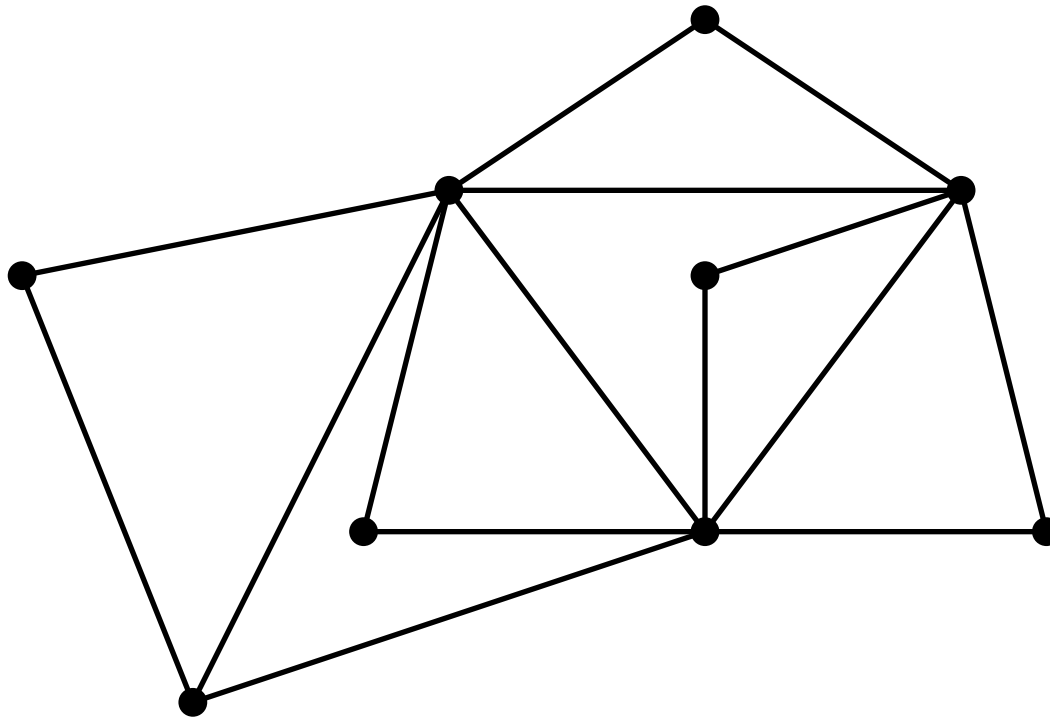
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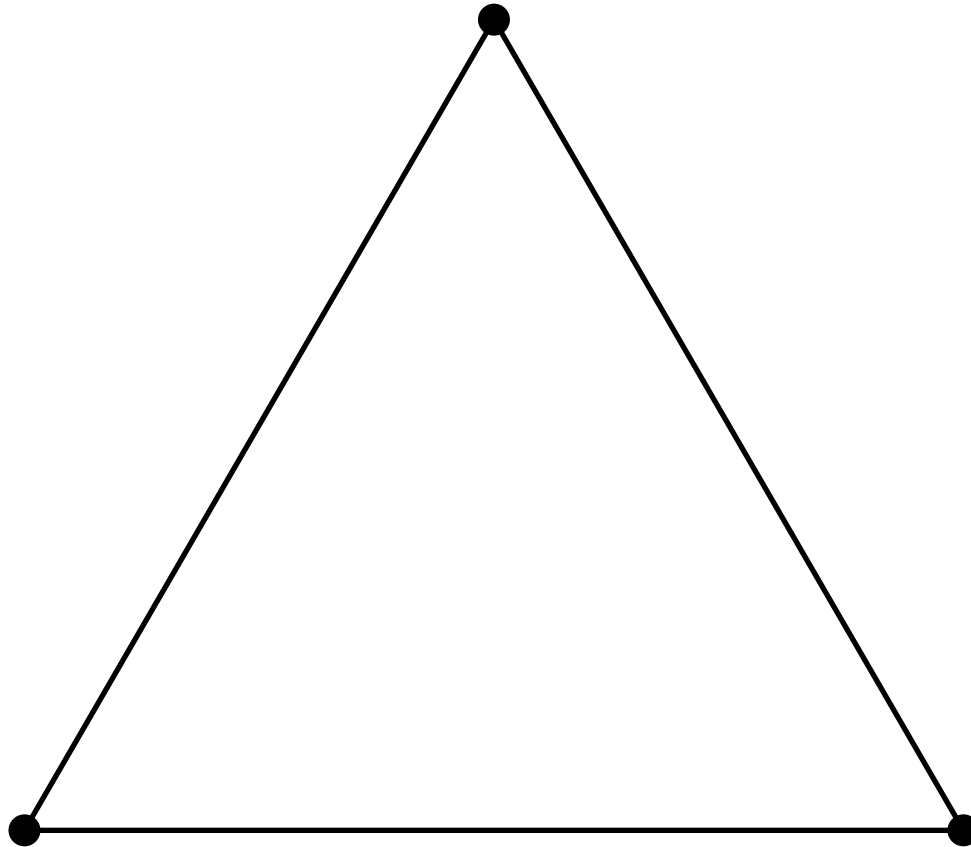
2-trees

Beyond trees

Iteratively add a new vertex connected to the vertices of an existing **triangle**.

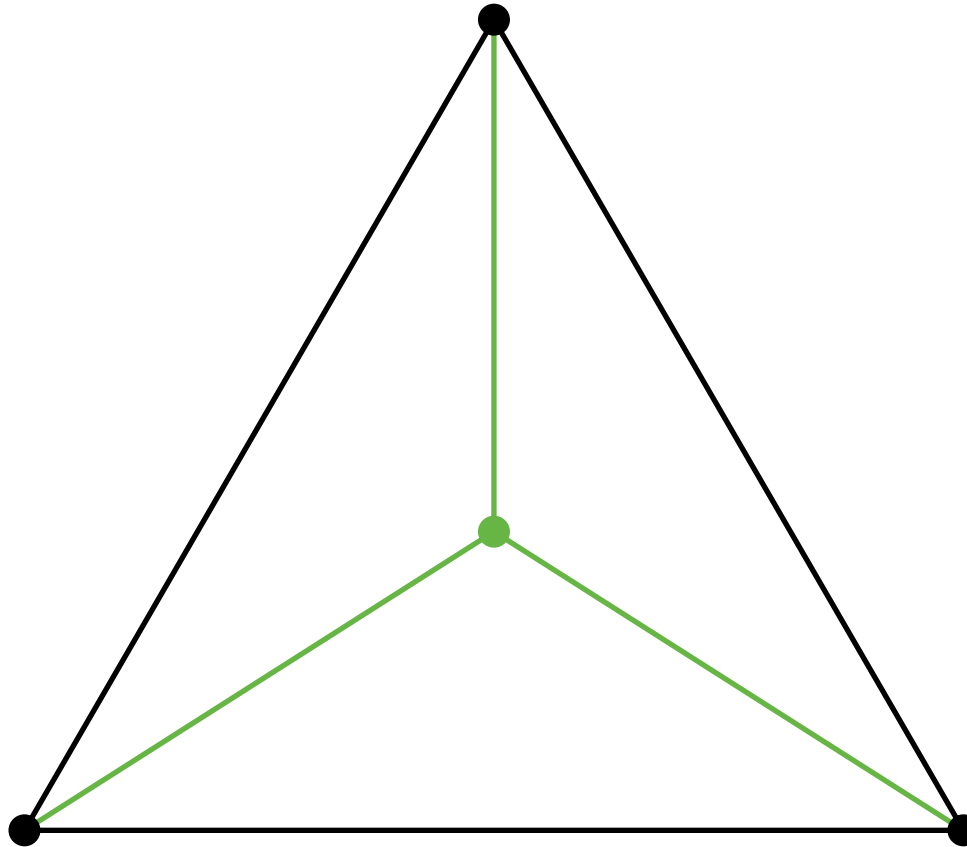
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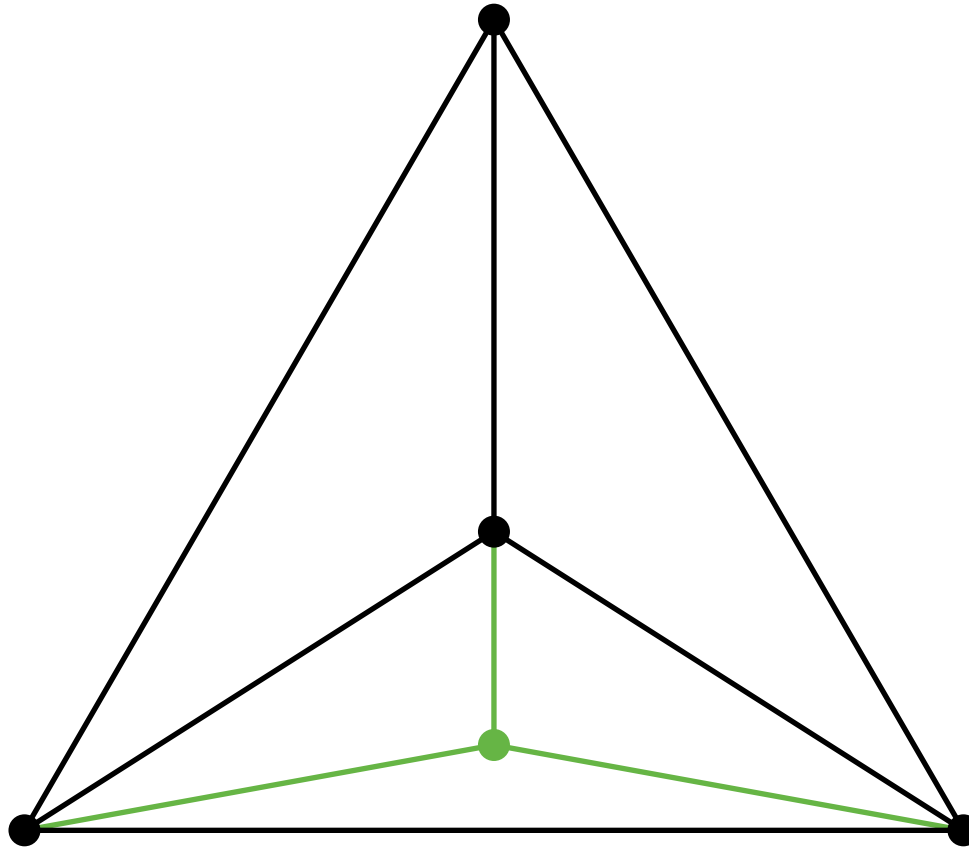
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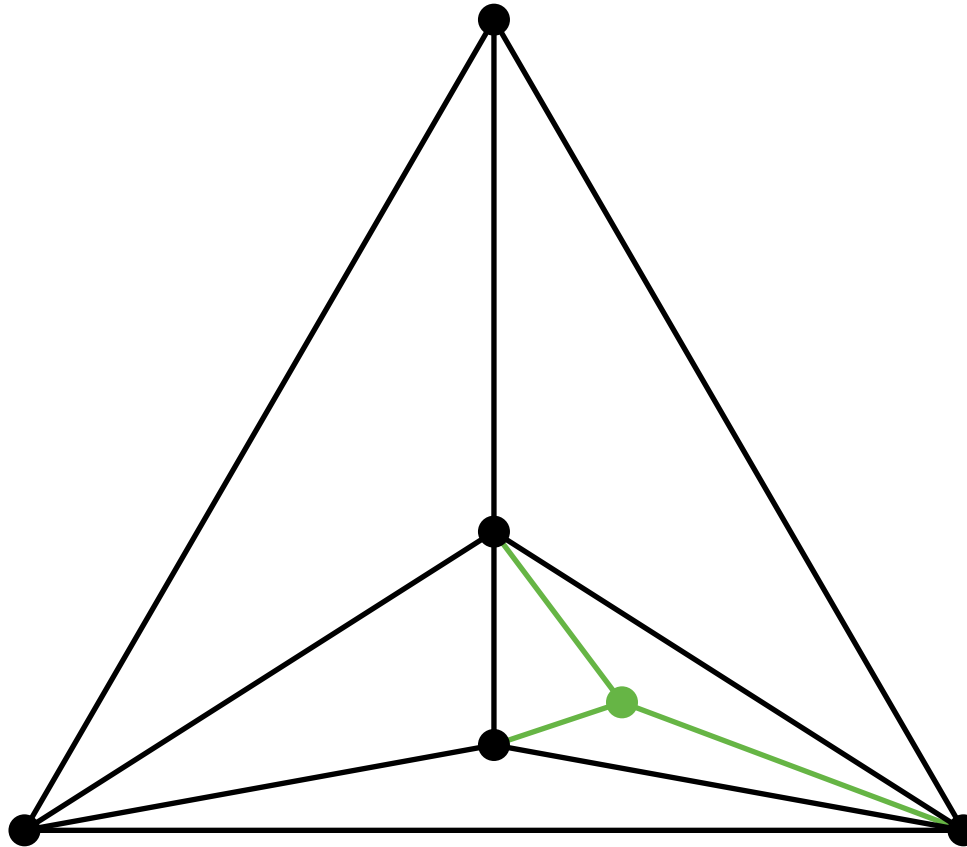
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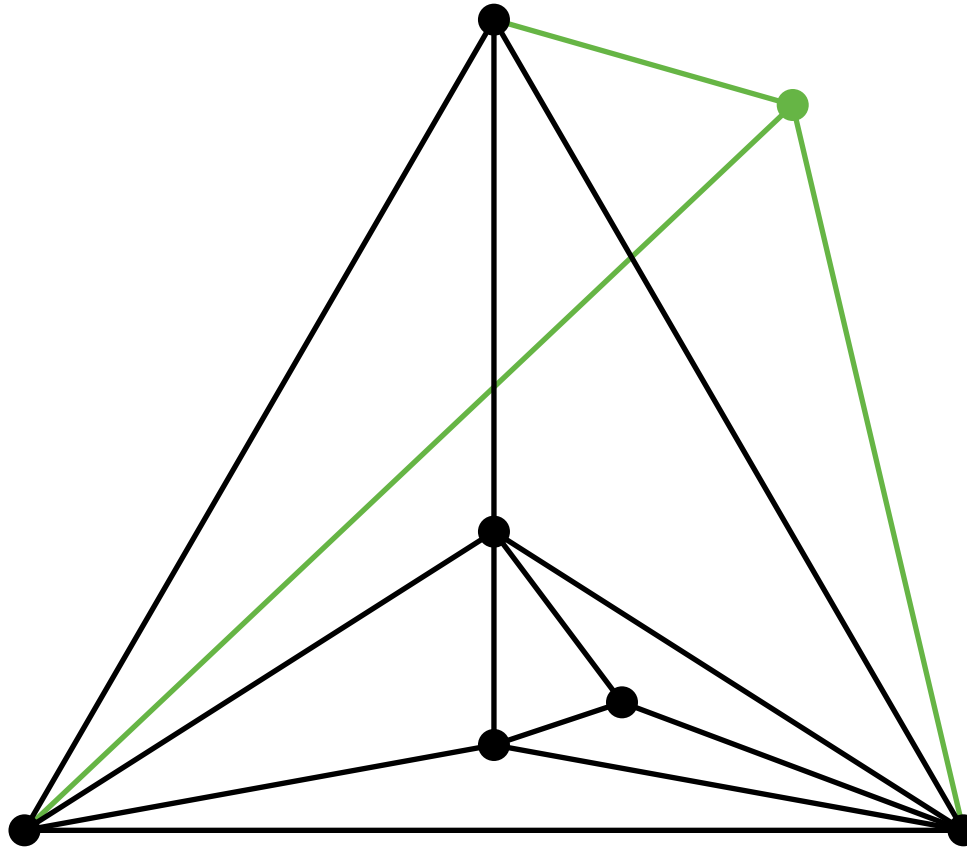
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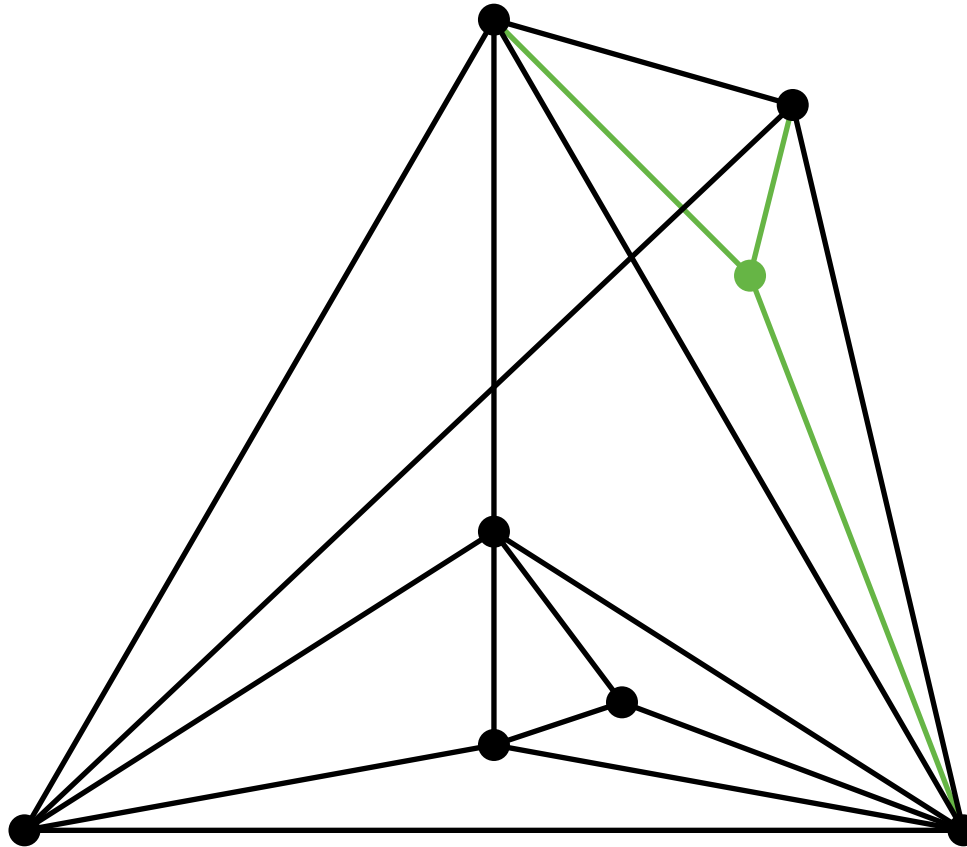
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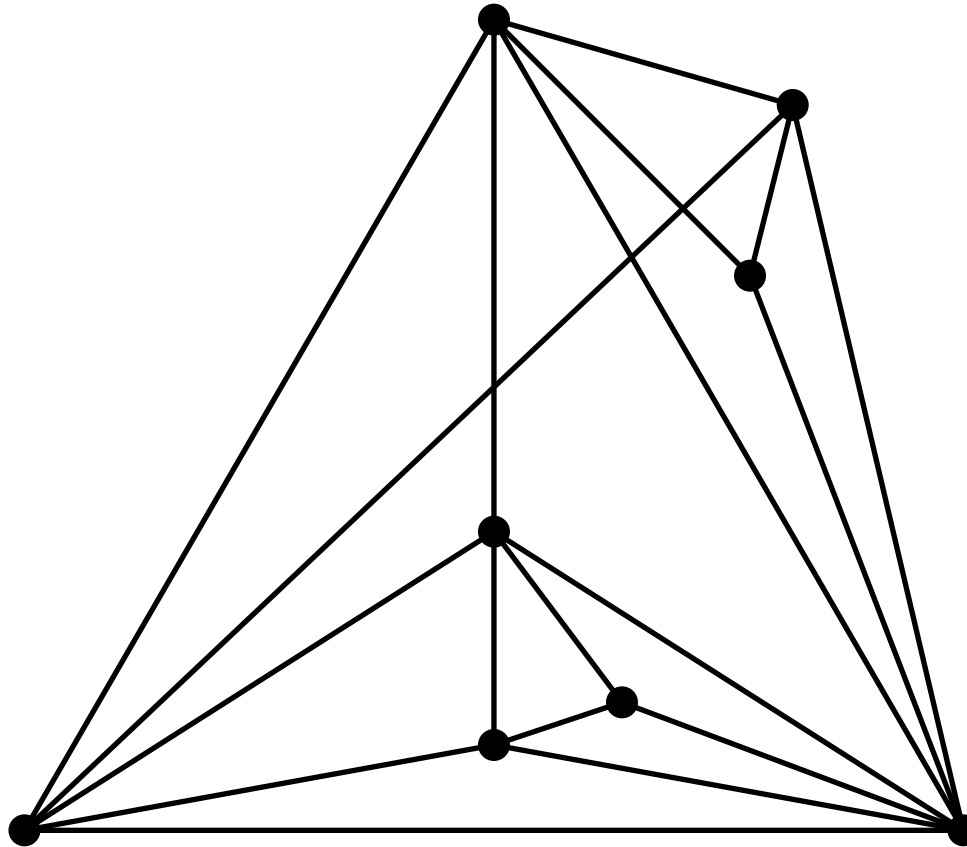
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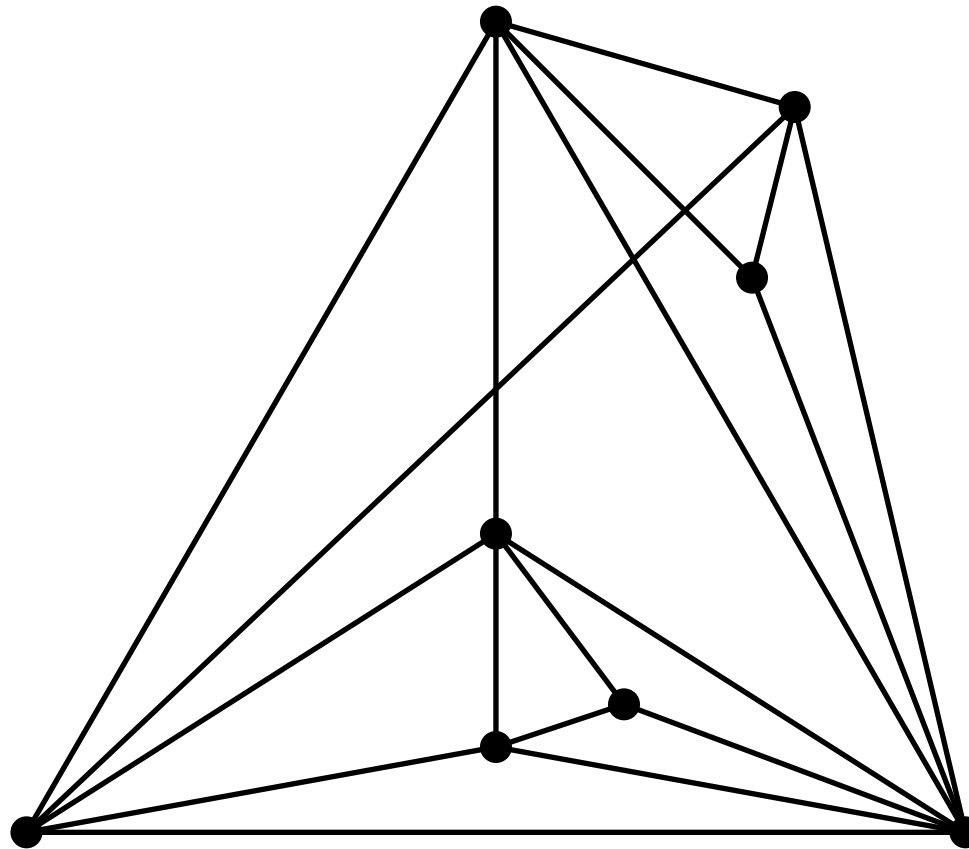
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3-trees

Beyond trees

Iteratively add a new vertex connected to the vertices of an existing **triangle**.



3-trees

Definition. A **k -tree** is a graph obtained from a $(k + 1)$ -clique by successively adding a new vertex connected to all vertices of an existing k -clique.

Chordal graphs

Iteratively add a new vertex connected to the vertices of an existing **clique** (complete subgraph).

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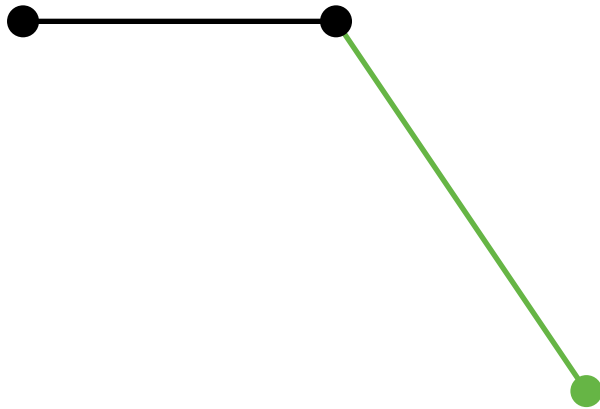
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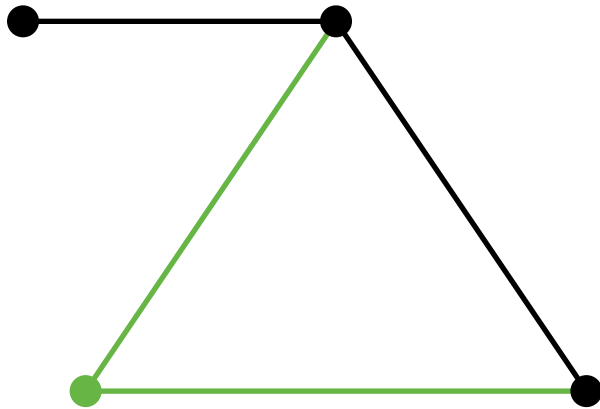
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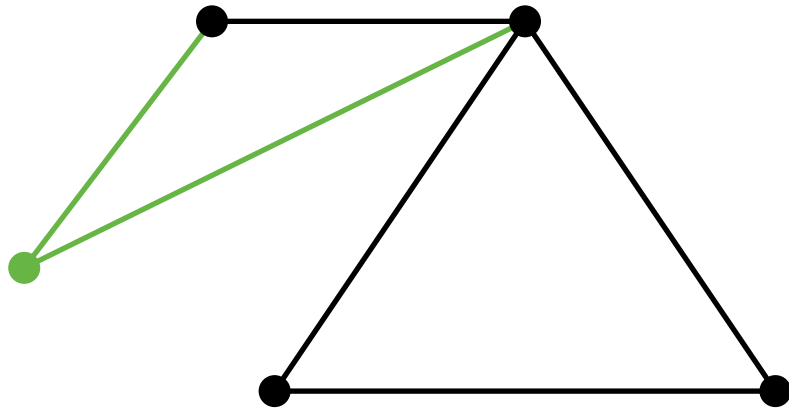
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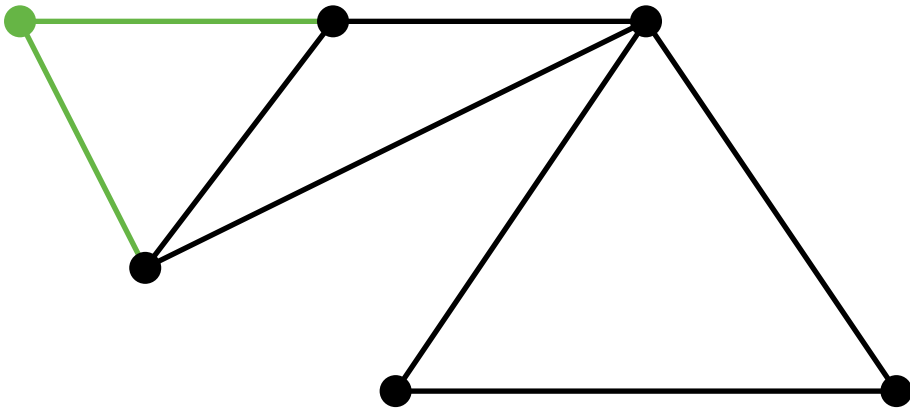
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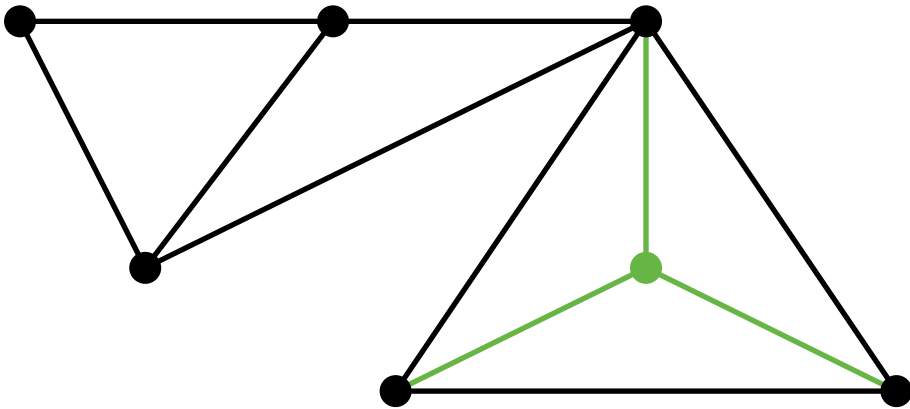
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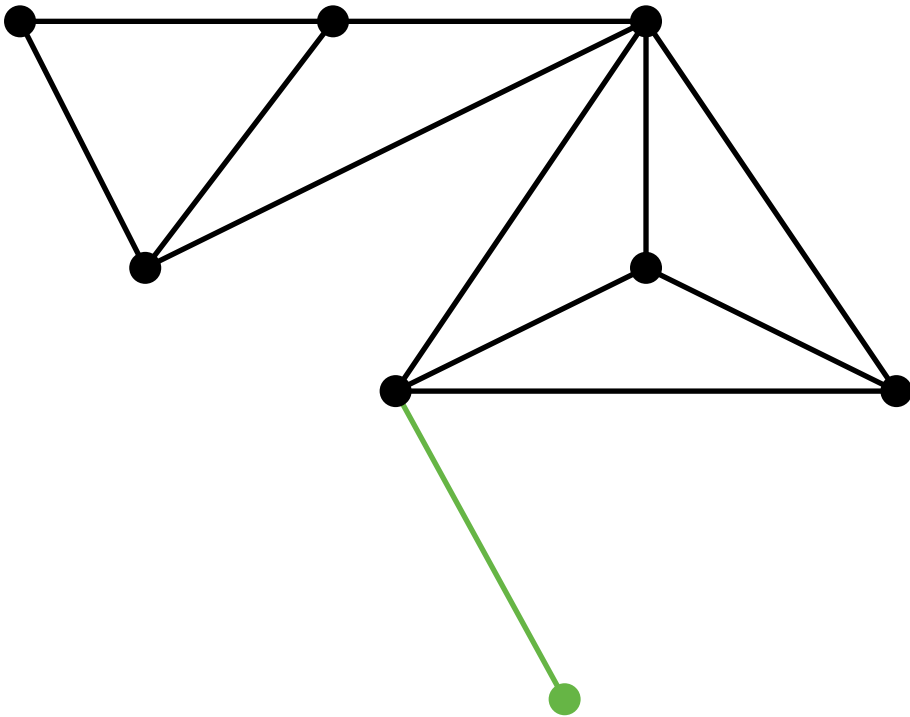
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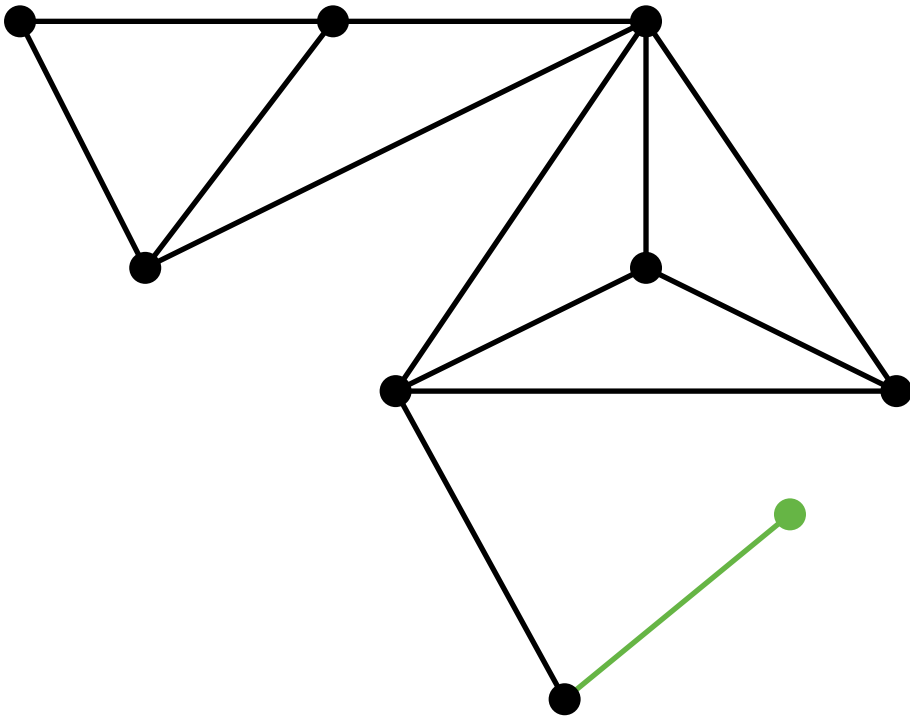
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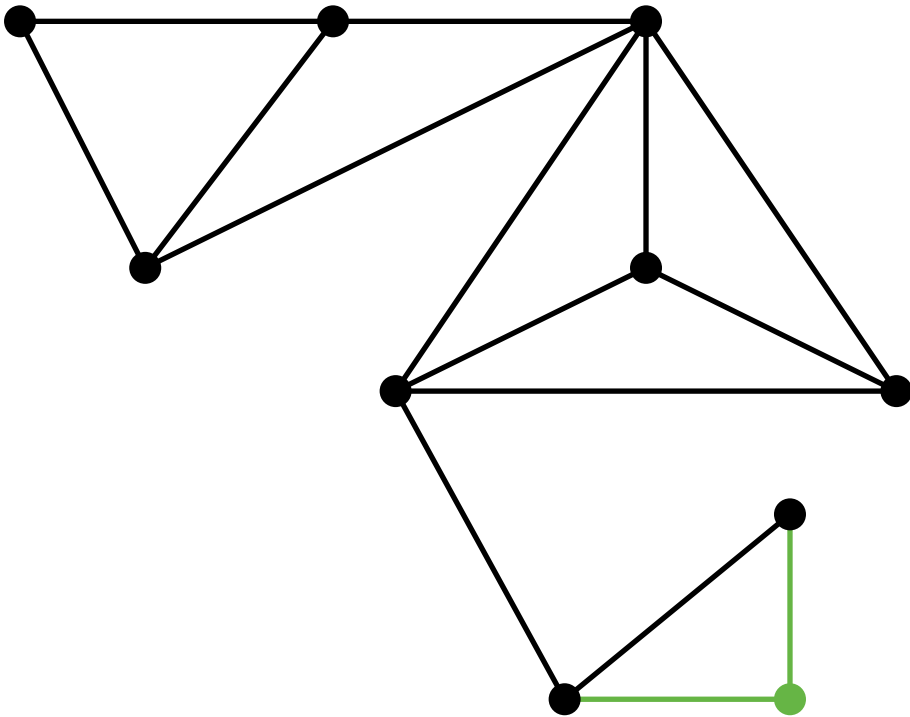
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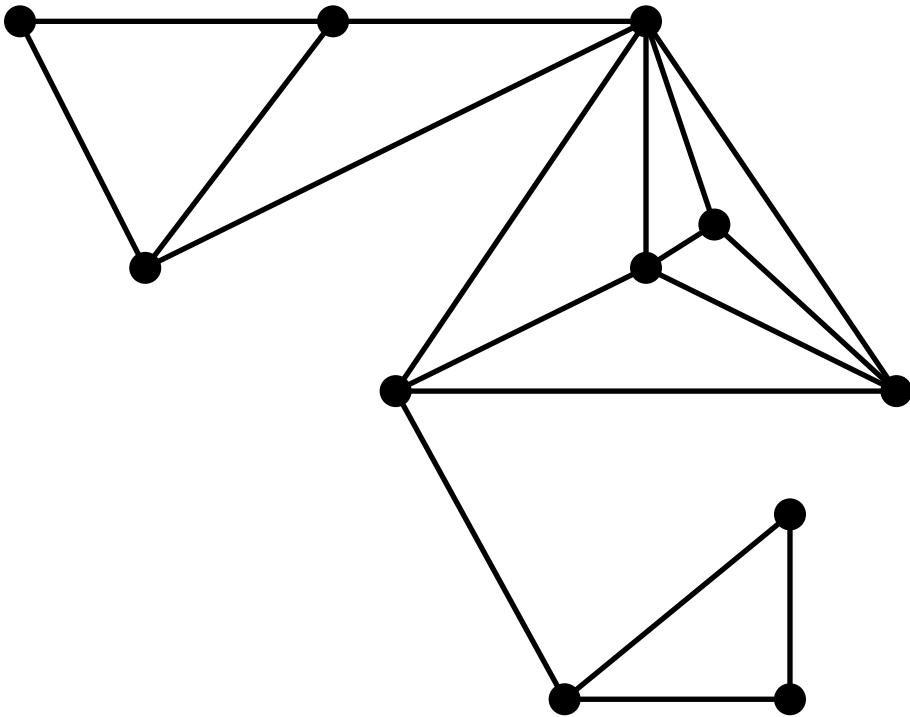
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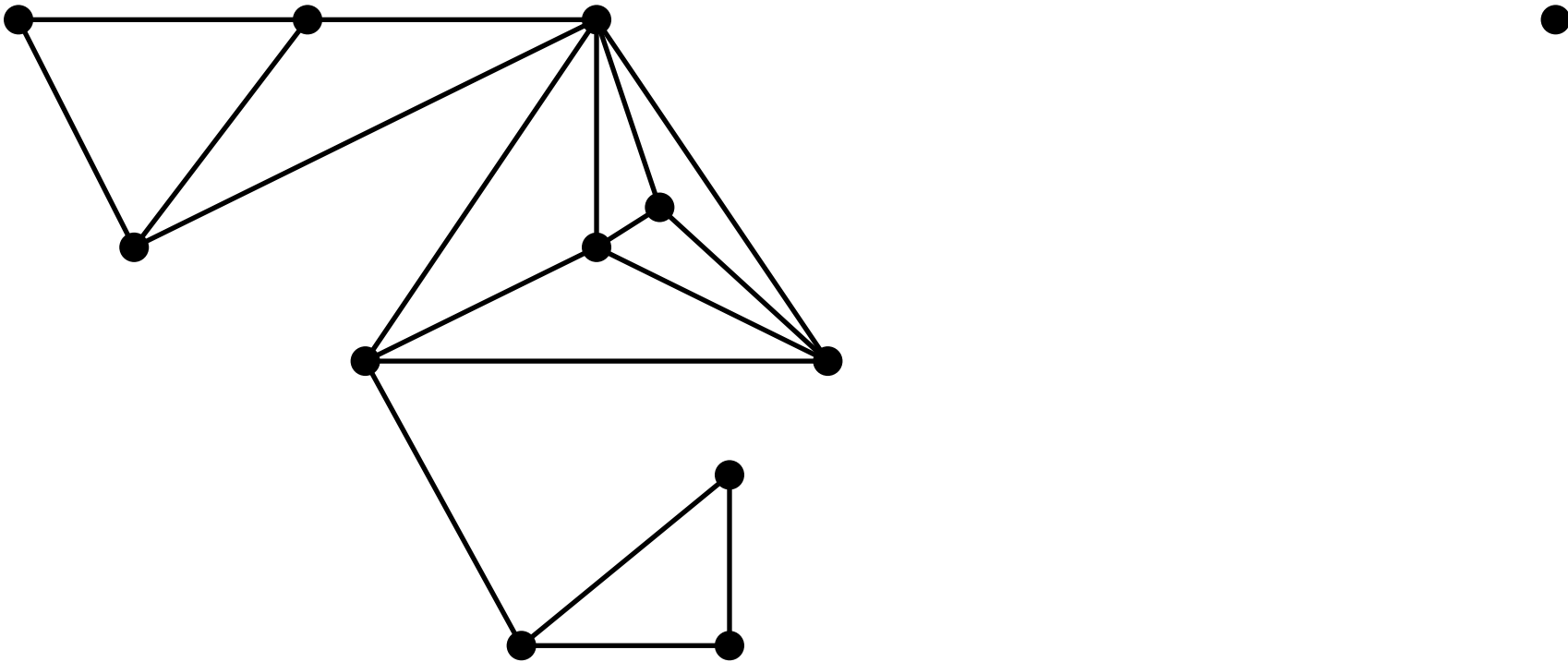
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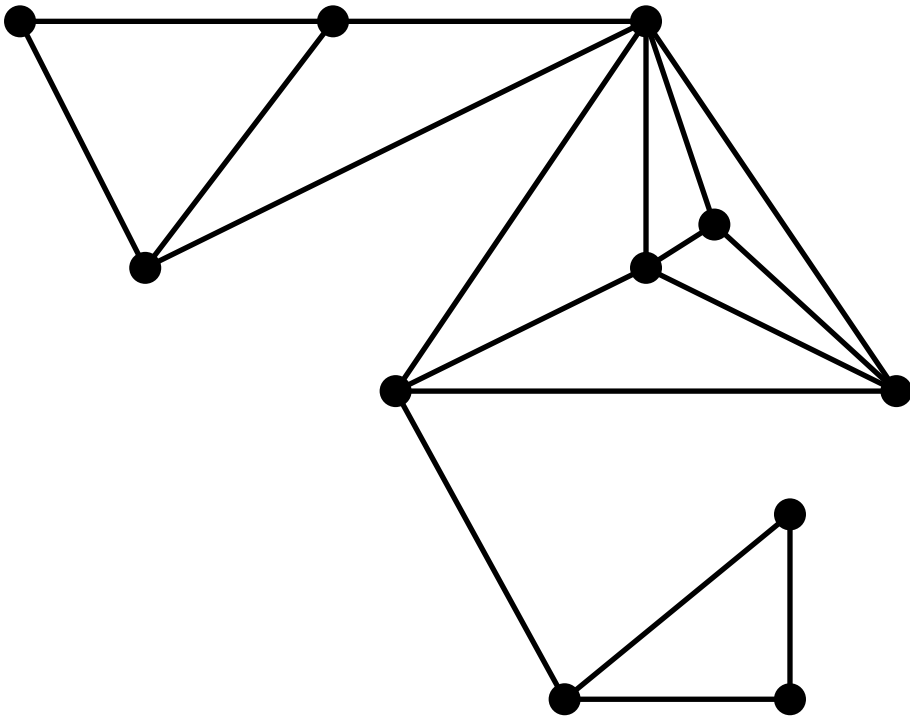
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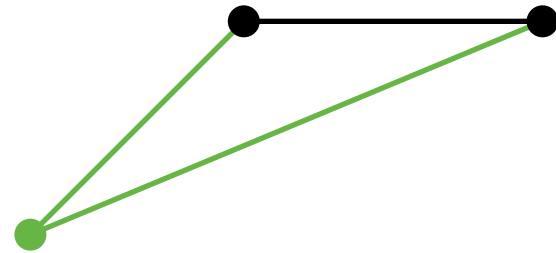
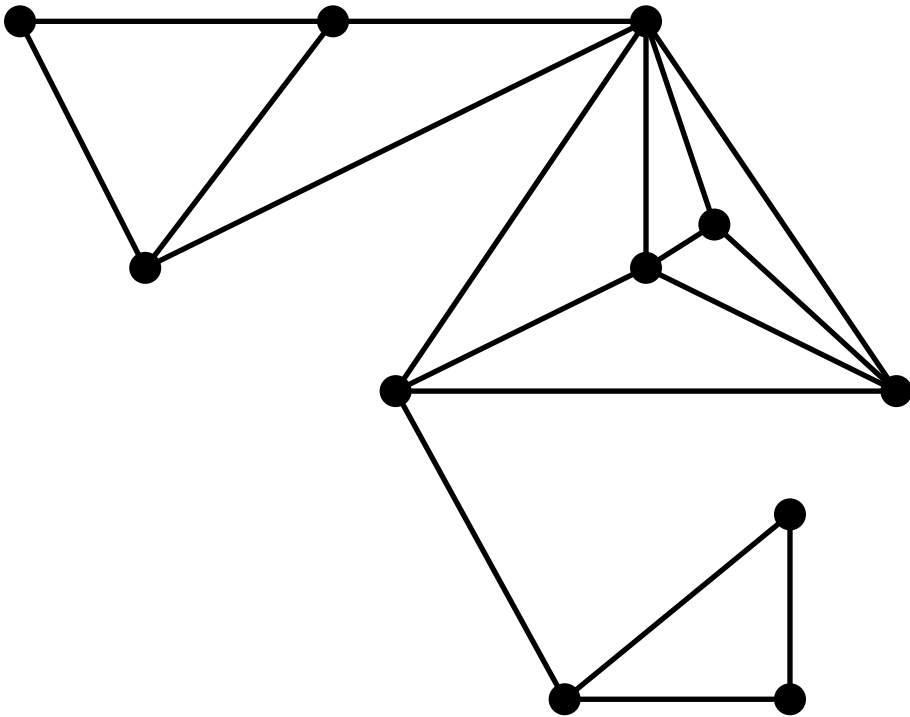
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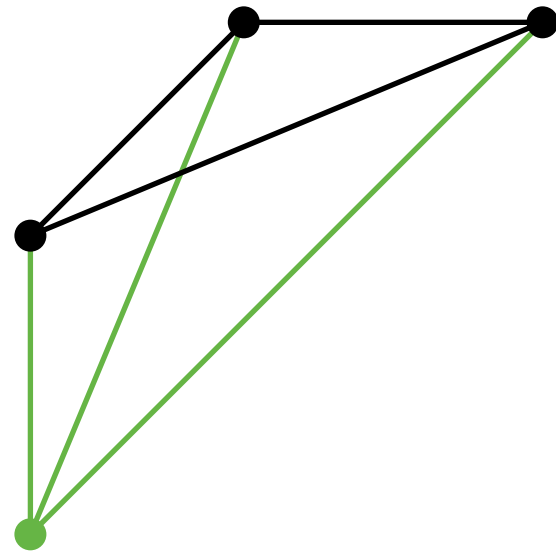
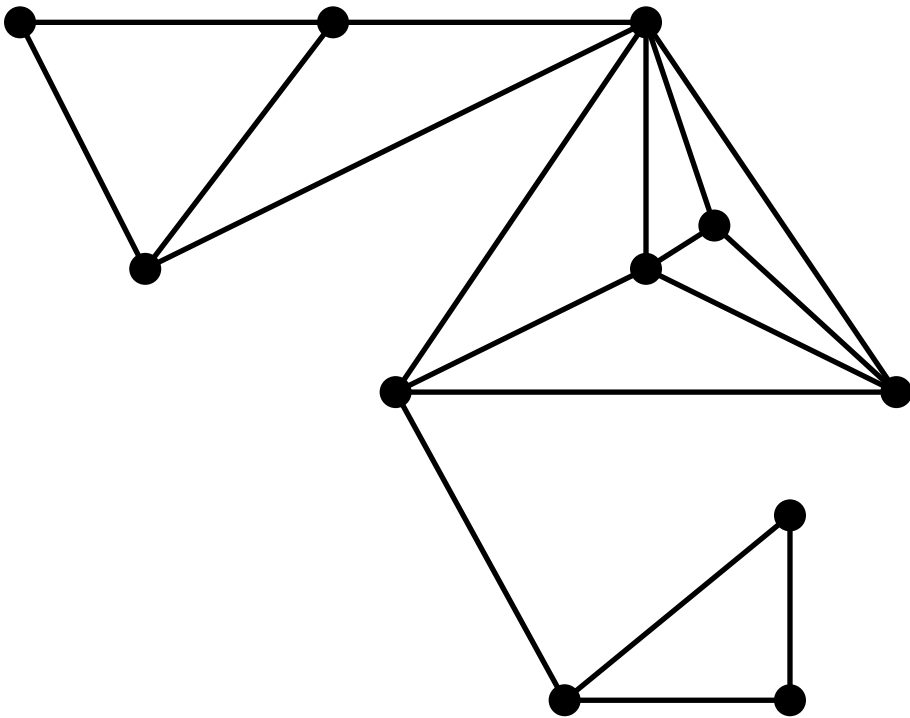
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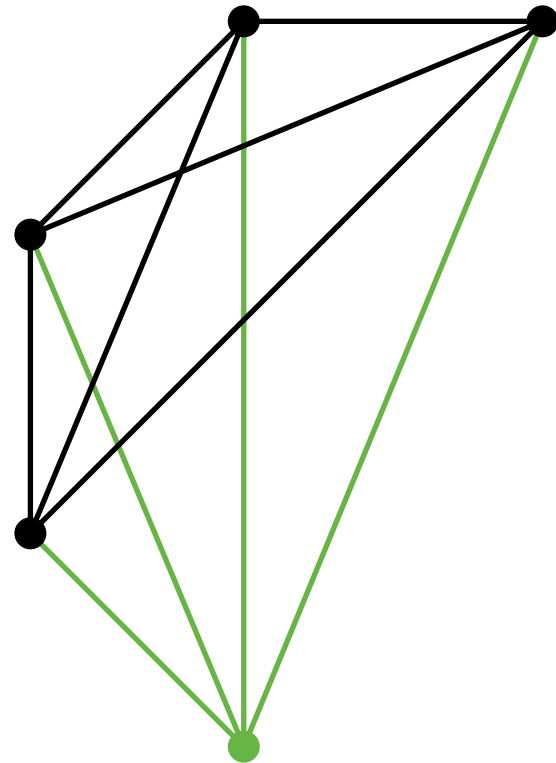
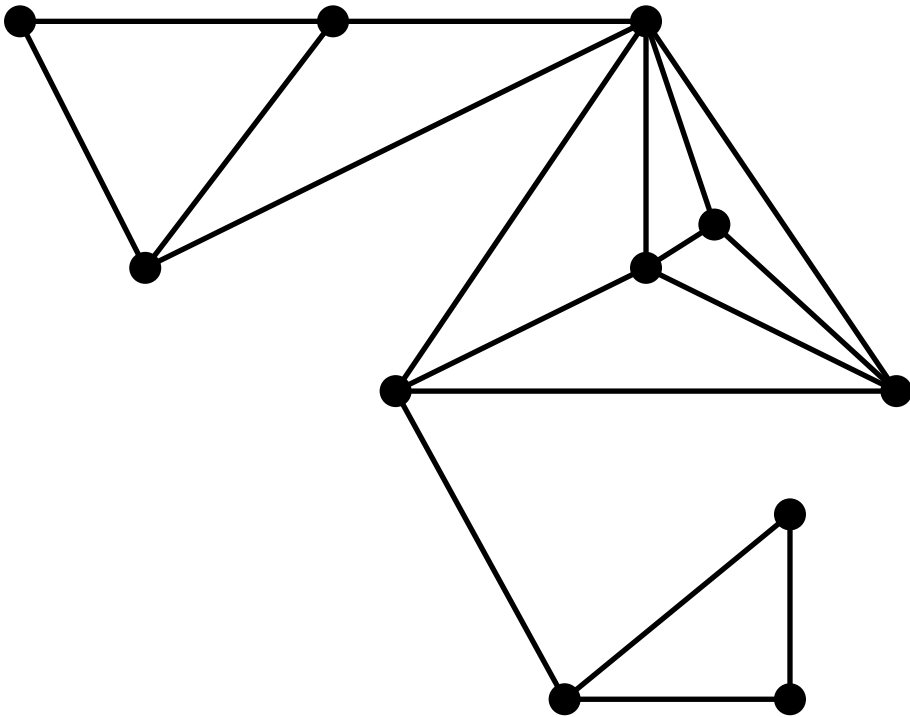
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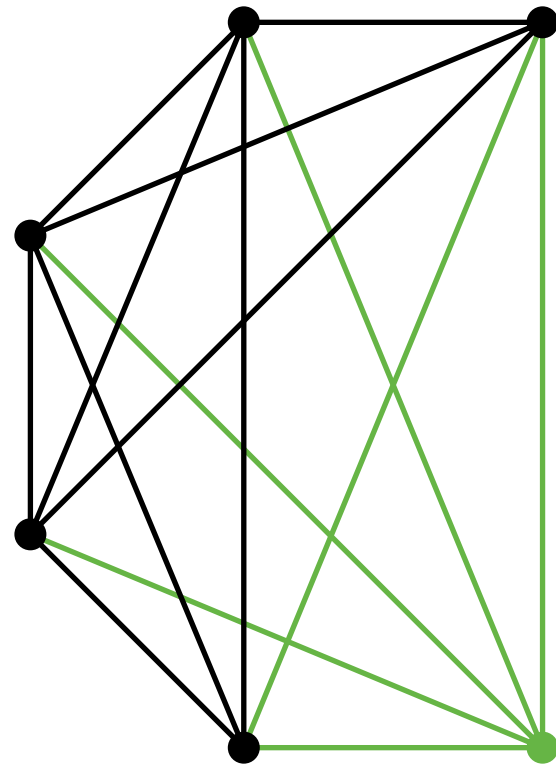
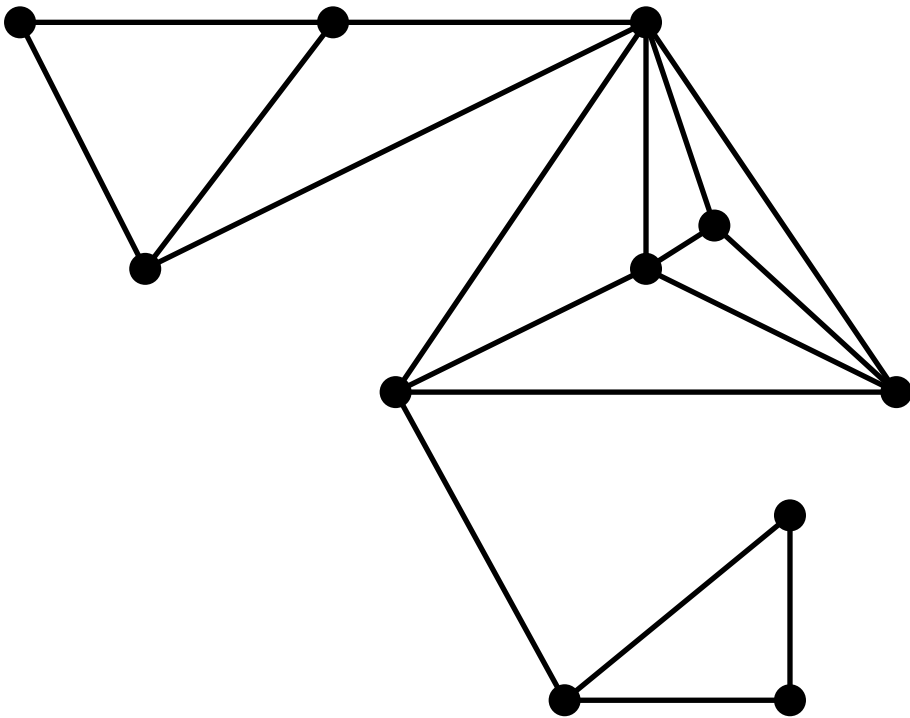
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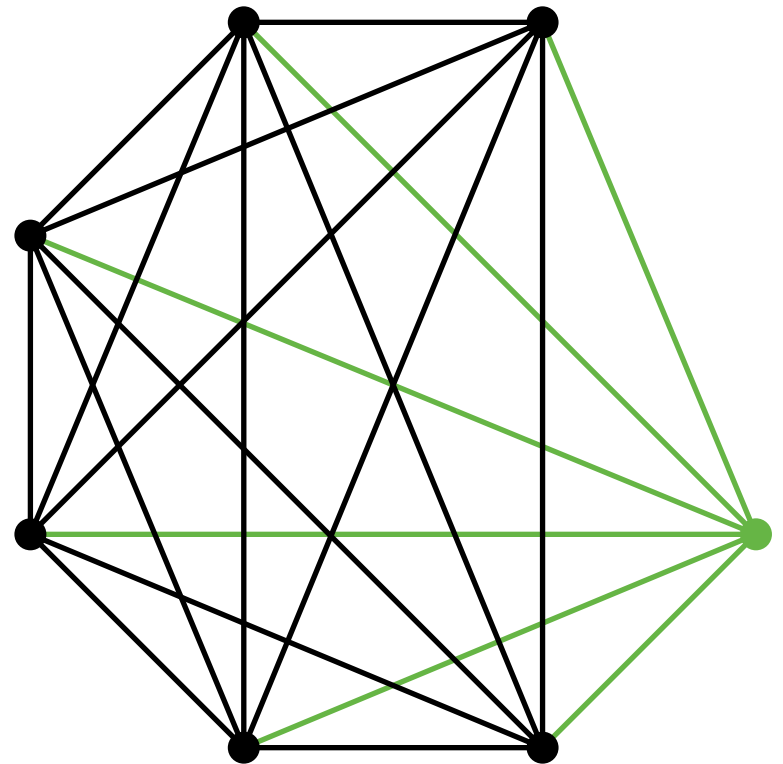
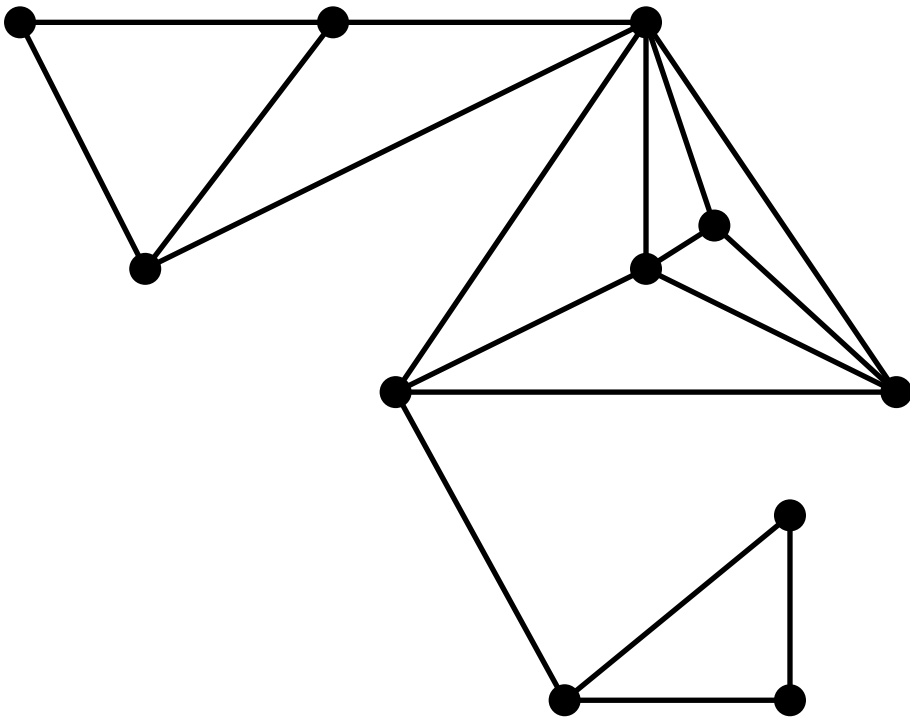
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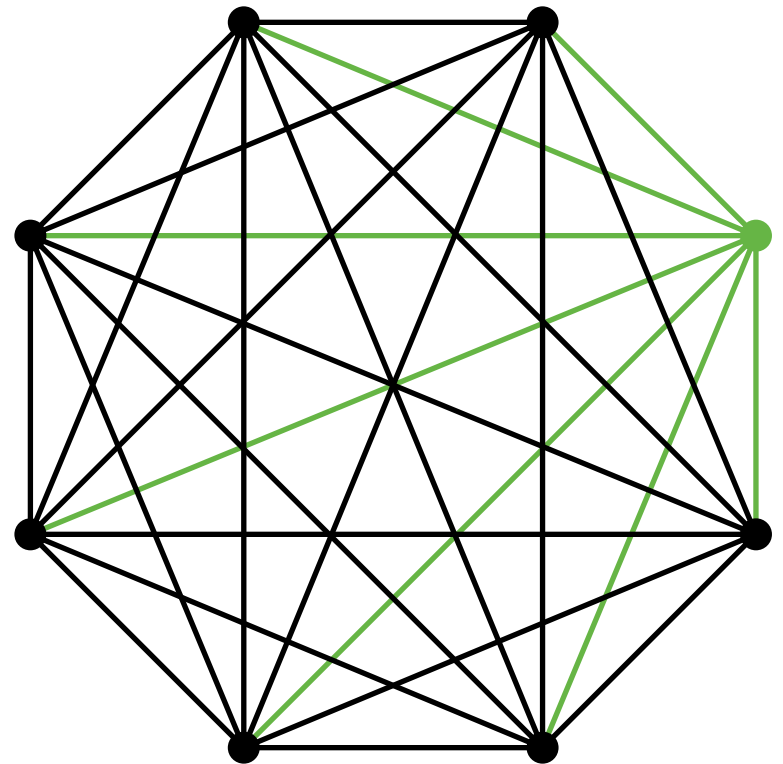
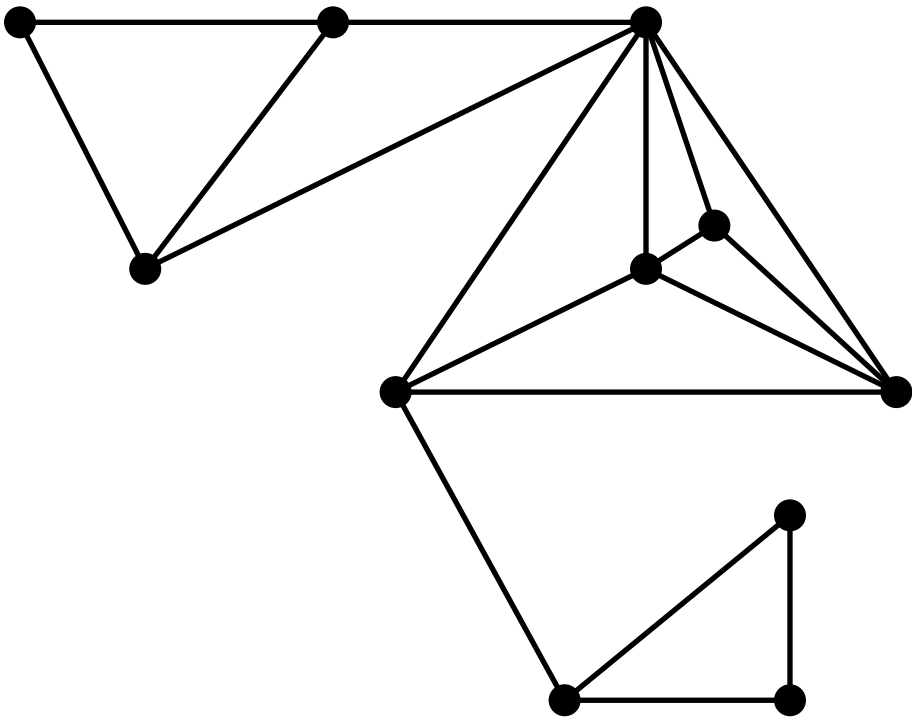
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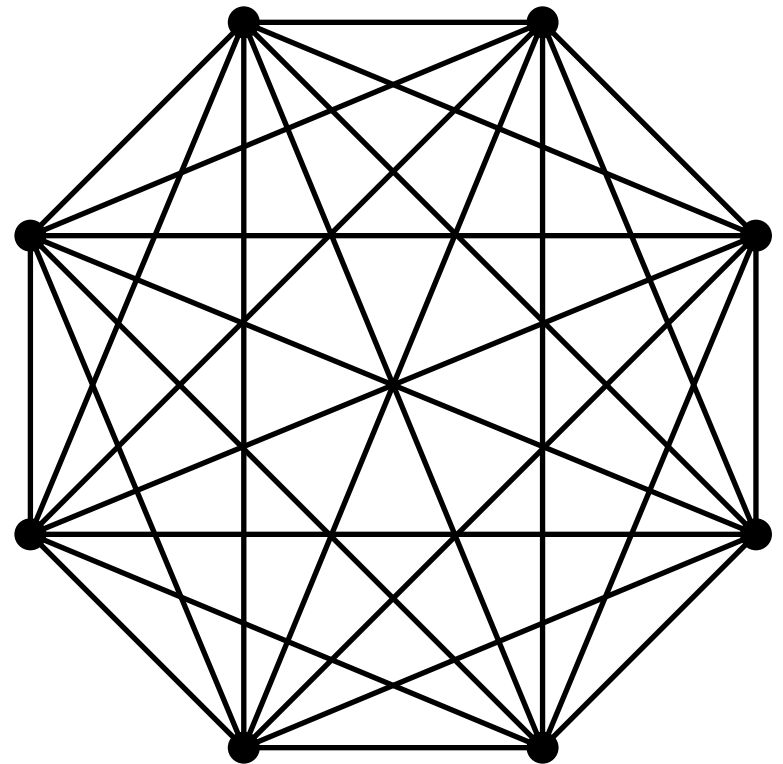
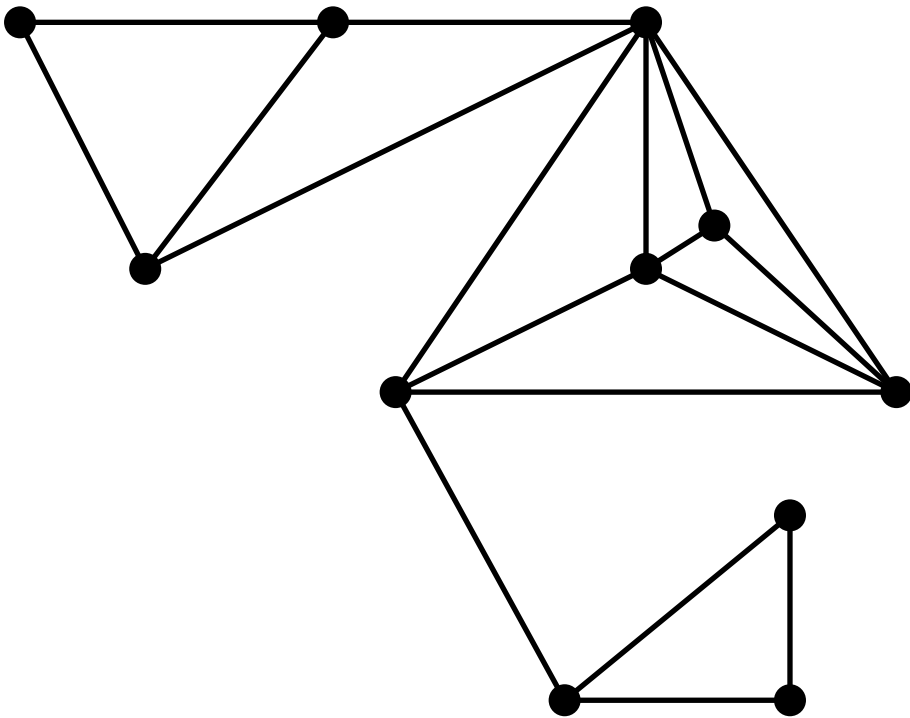
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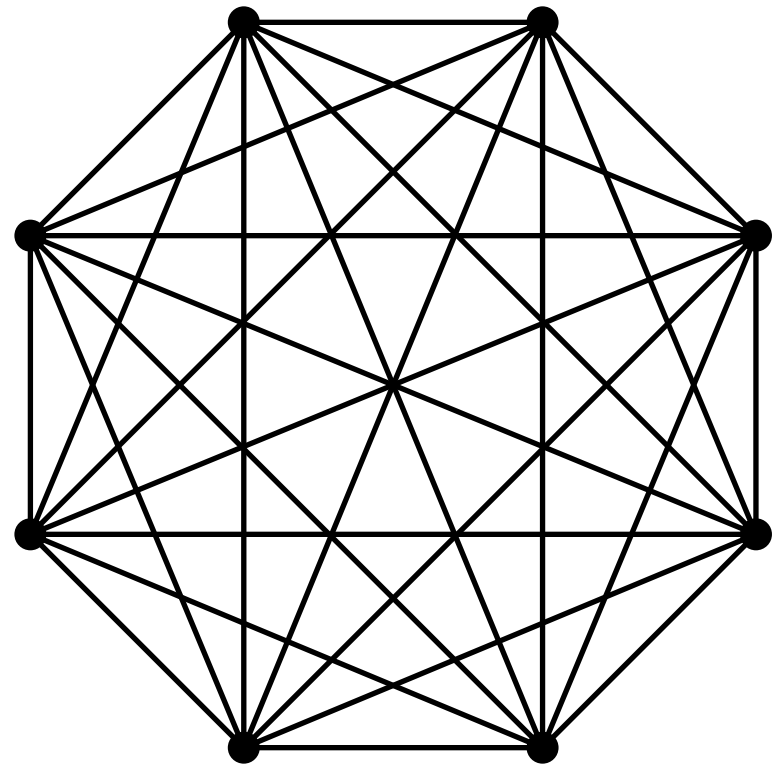
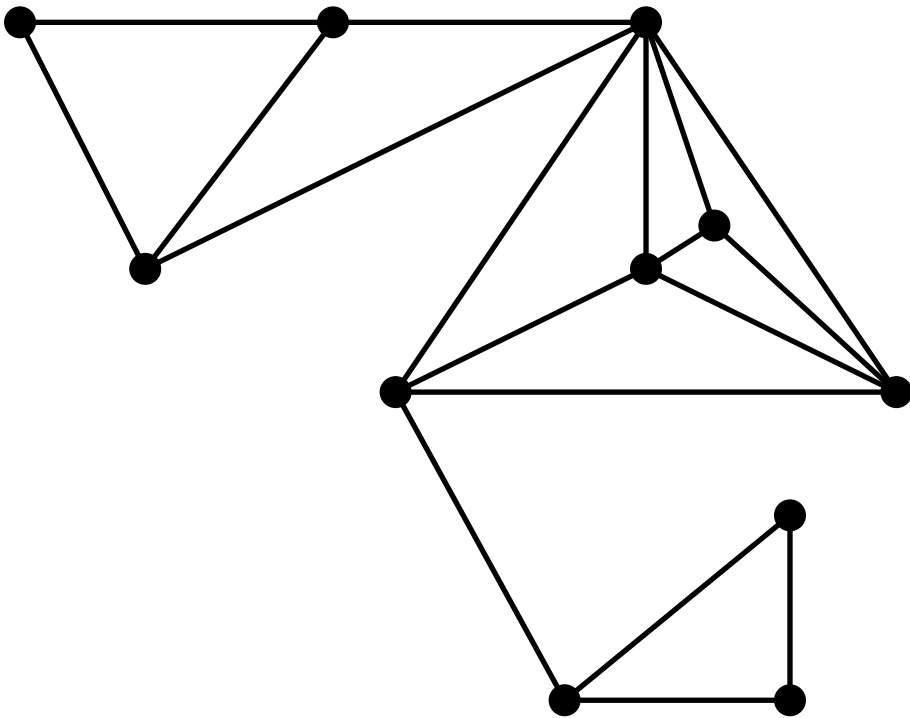
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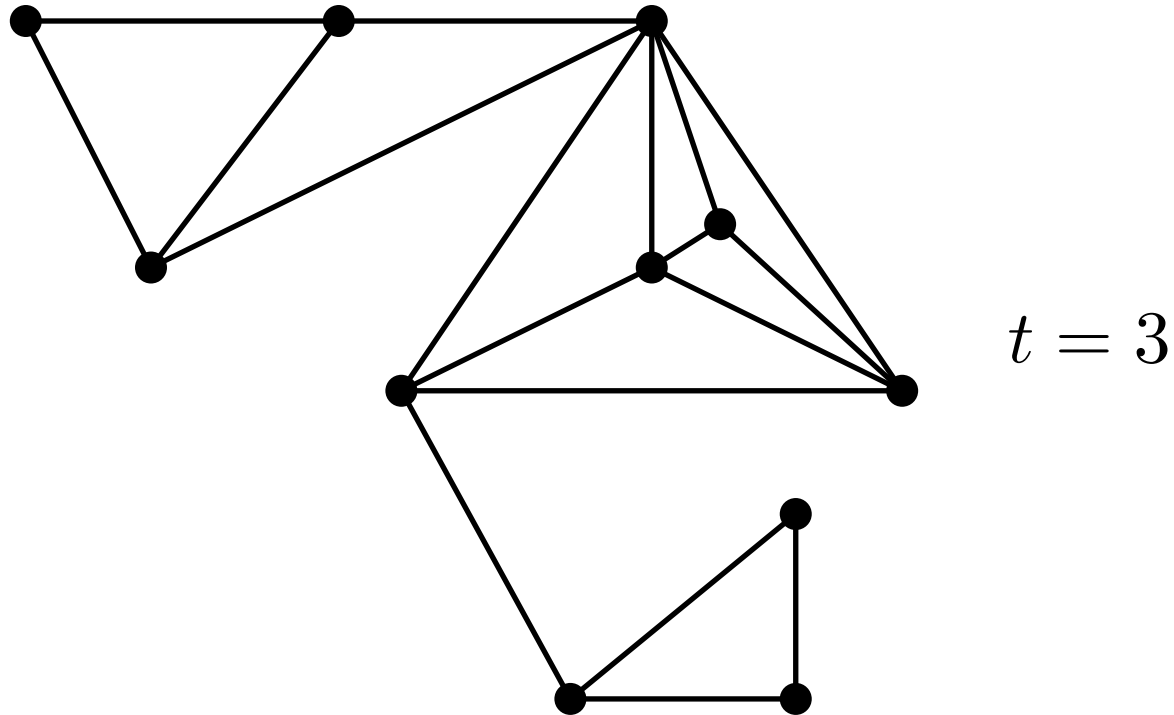
Definition. A graph is **chordal** if it has no induced cycle of length greater than 3.

Our class of graphs

Iteratively add a new vertex connected to the vertices of an existing **clique of size at most t** .

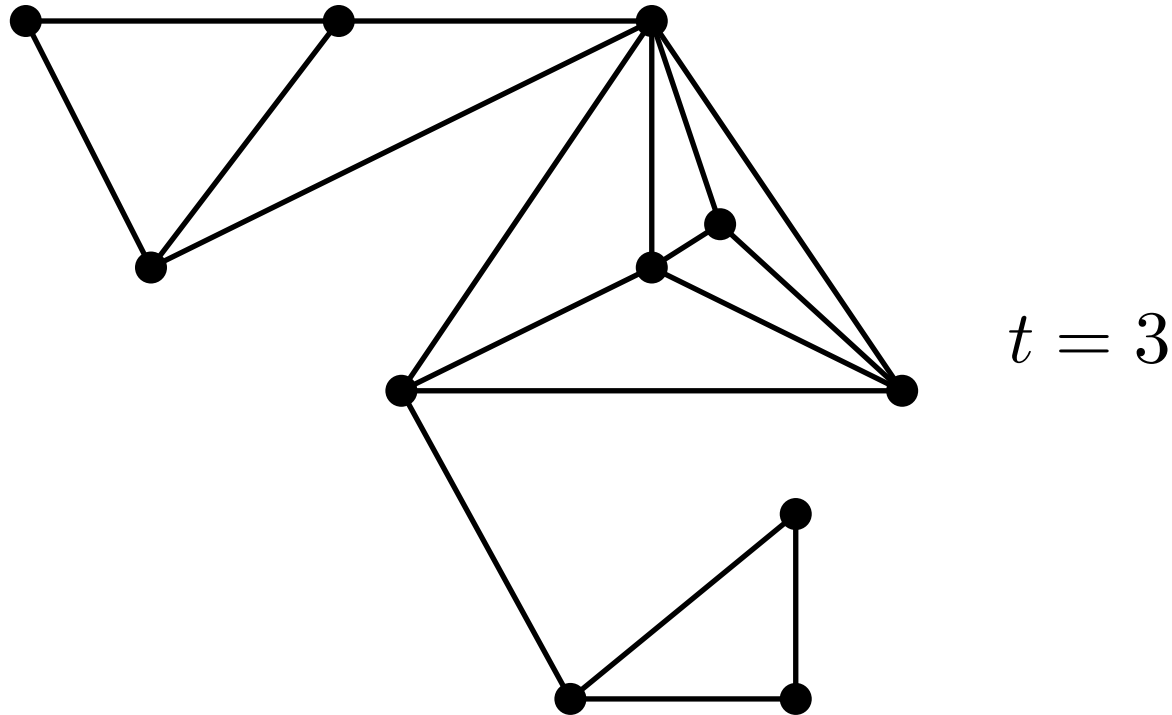
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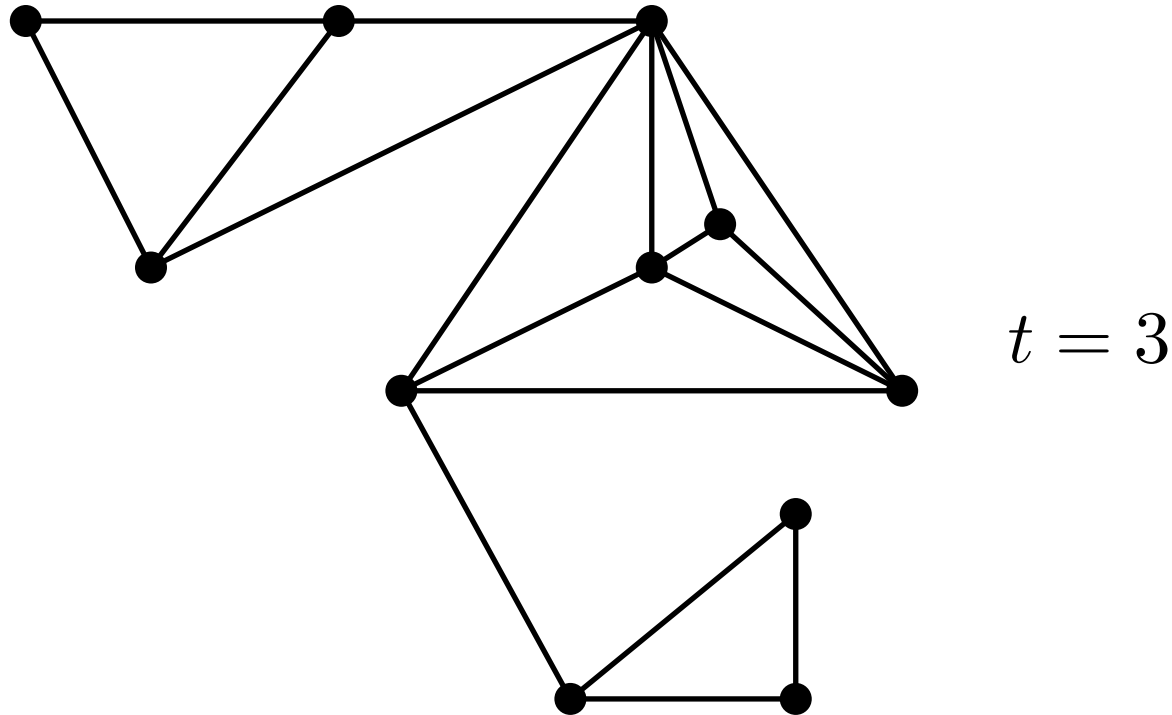
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Chordal graphs with tree-width at most t

Our class of graphs

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Chordal graphs with tree-width at most t

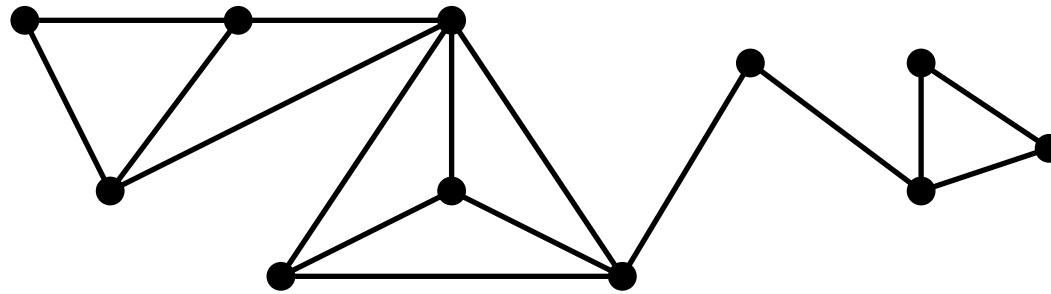
Definition. The **tree-width** of a graph G is the minimum k such that G is the subgraph of a k -tree.

Block decomposition

Definition. The **2-connected components** (or blocks) of a connected graph are its maximal 2-connected subgraphs.

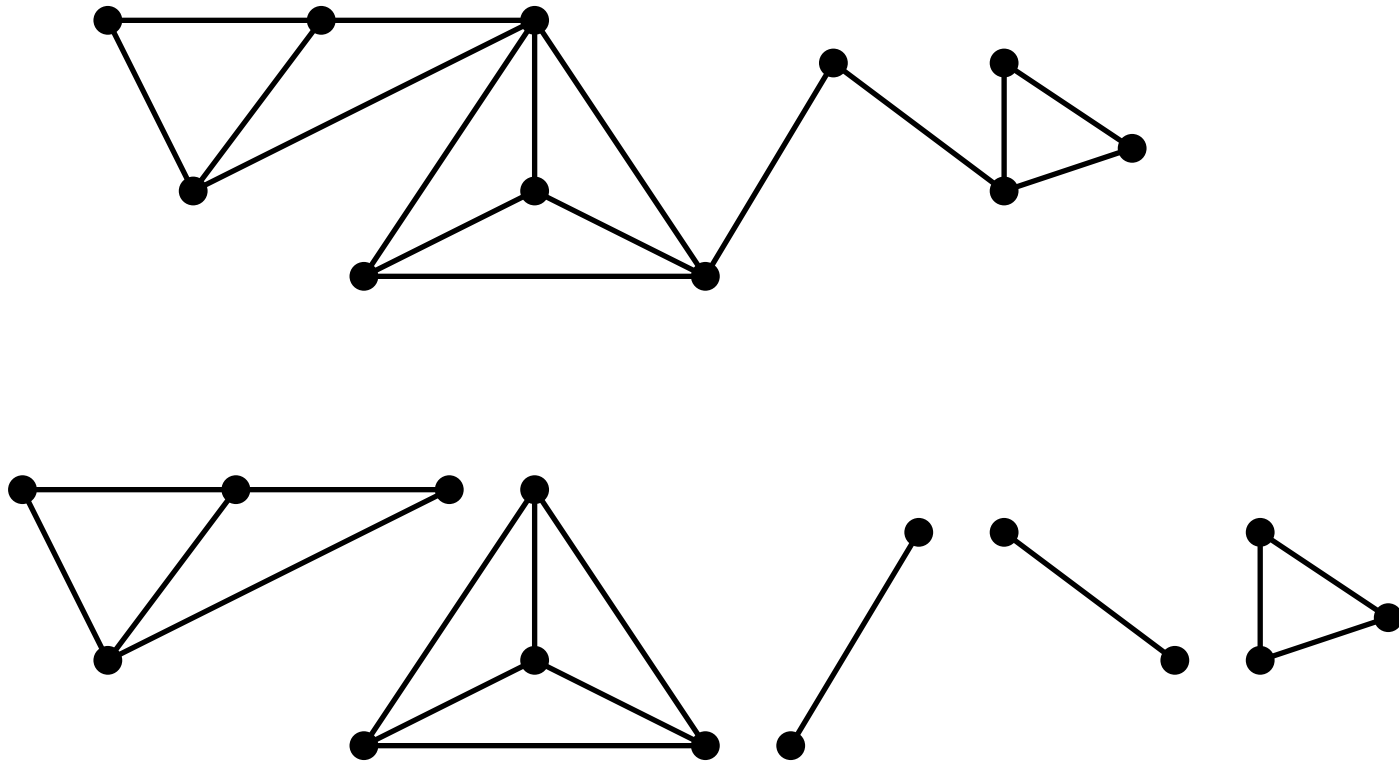
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Block decomposition

Let $\mathcal{B} \subset \mathcal{C}$ be the class of the 2-connected members of $\mathcal{G}$. Then,

$$C^\bullet(x) = x \exp(B'(C^\bullet(x))), \quad \text{where } C^\bullet(x) = xC'(x),$$

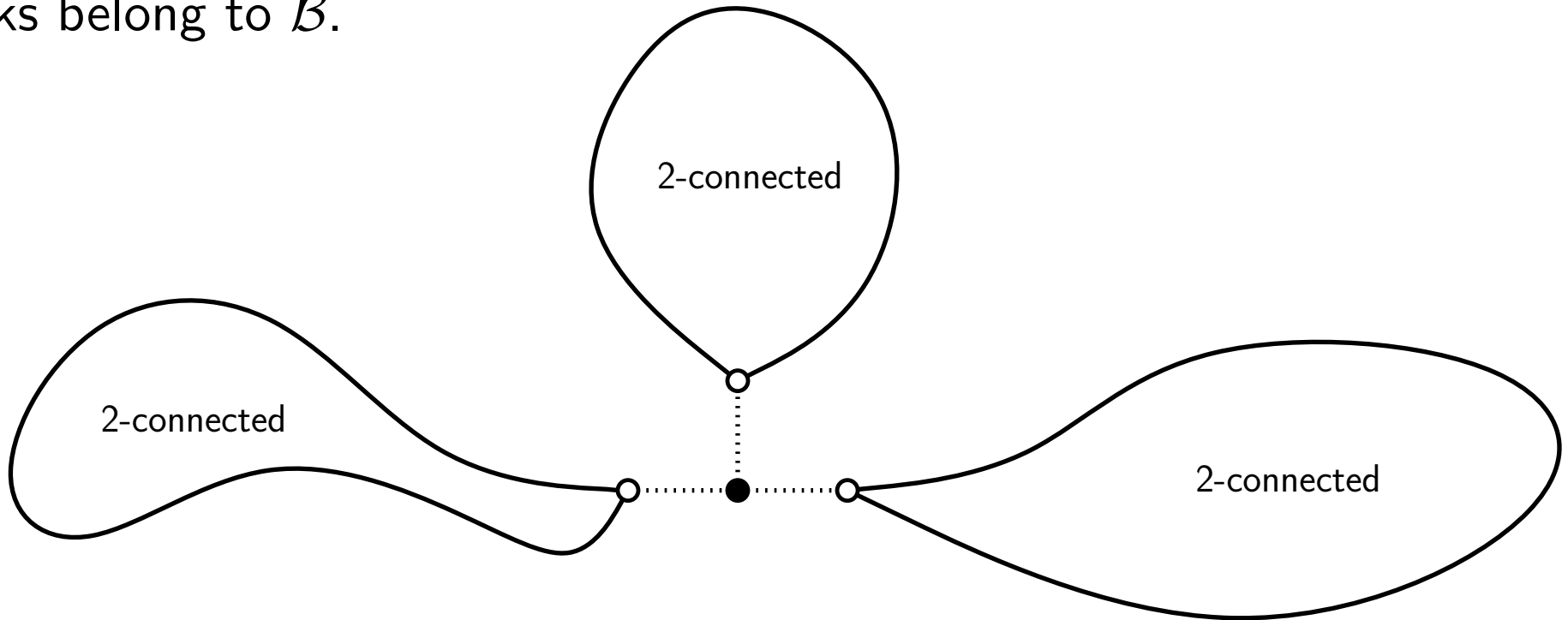
provided that $\mathcal{G}$ is **block-stable**, i.e., that a graph belongs to $\mathcal{C}$ iff its blocks belong to $\mathcal{B}$.

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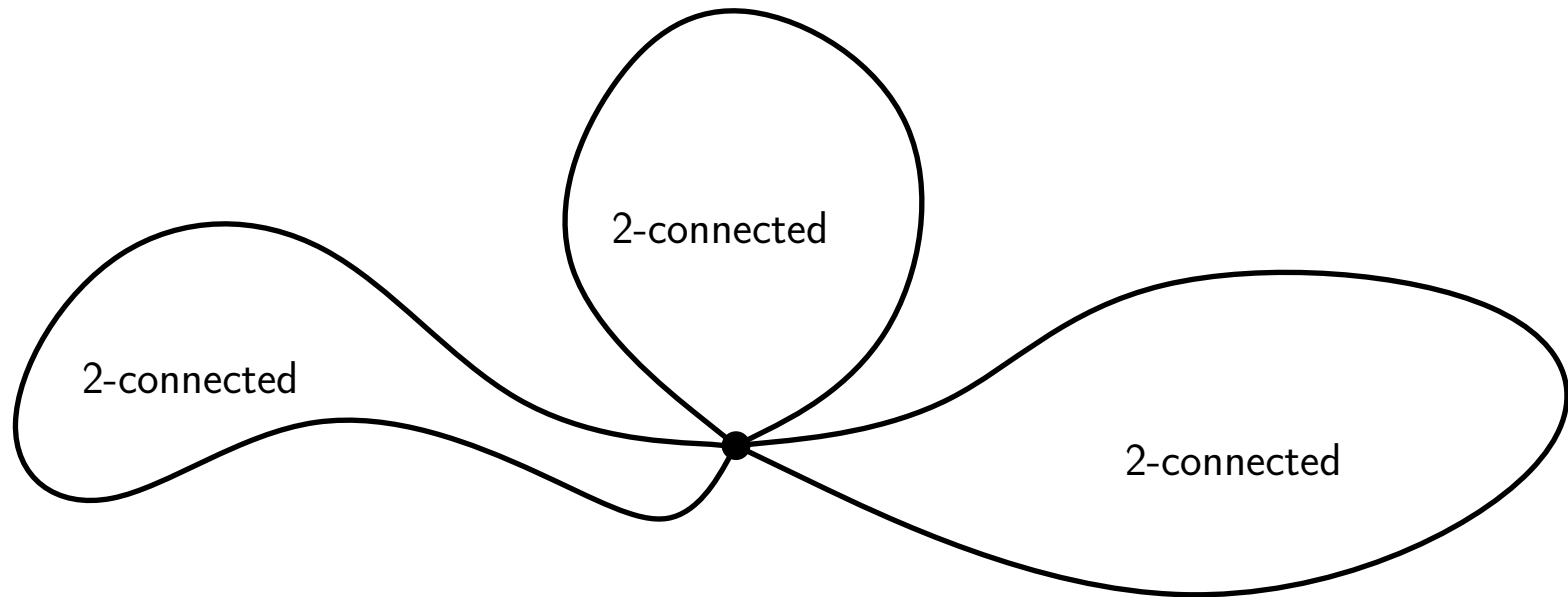


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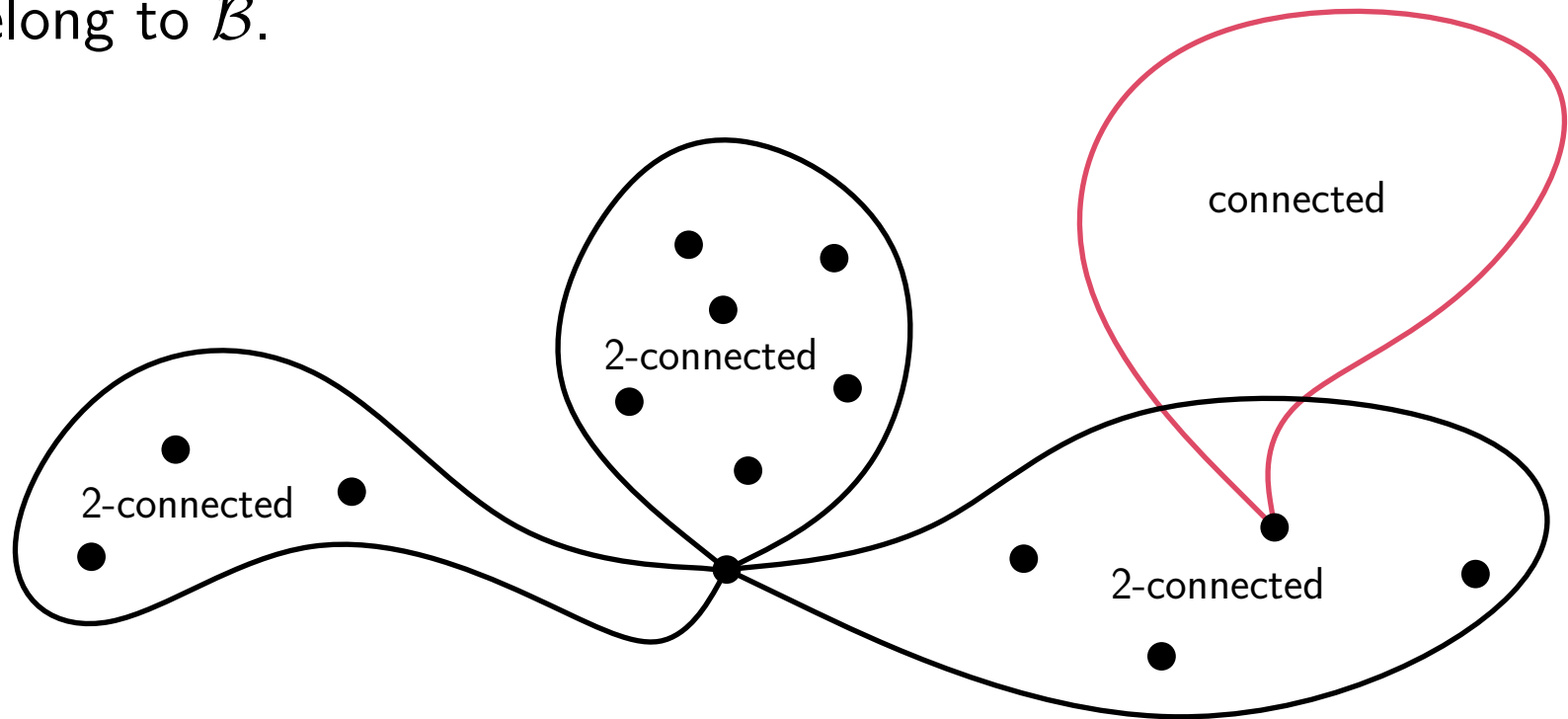


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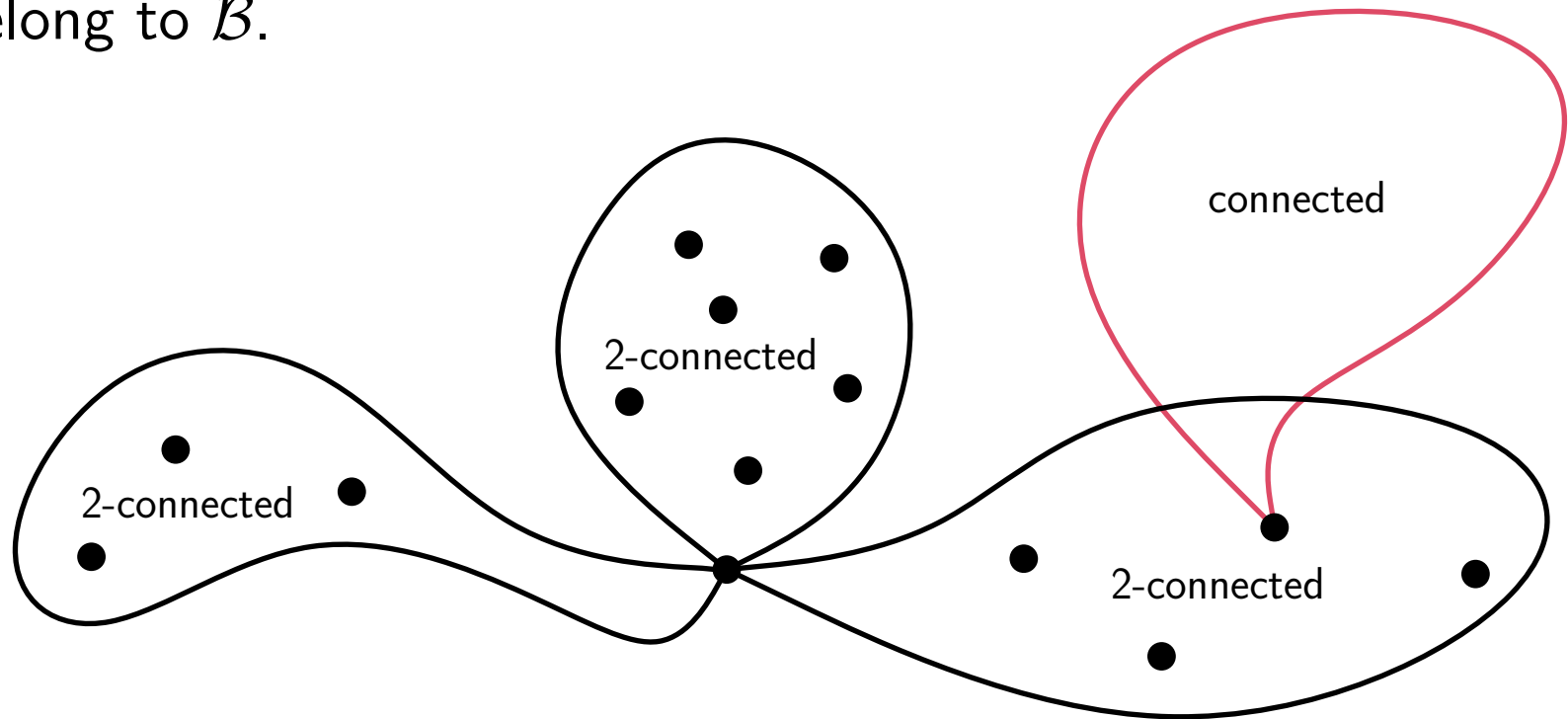


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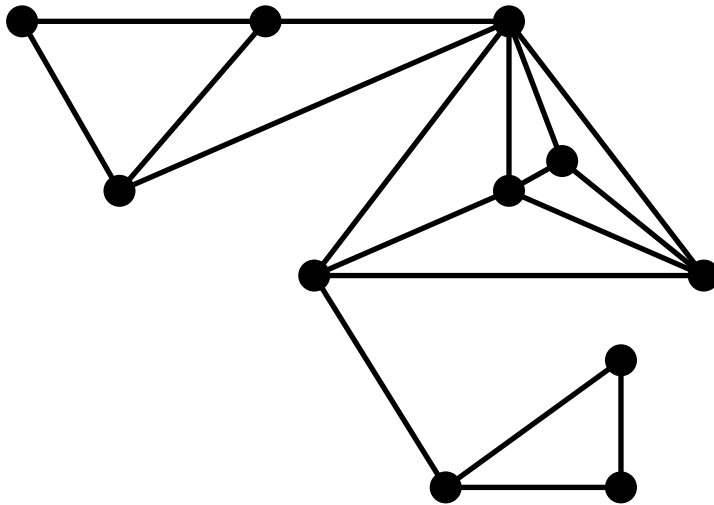
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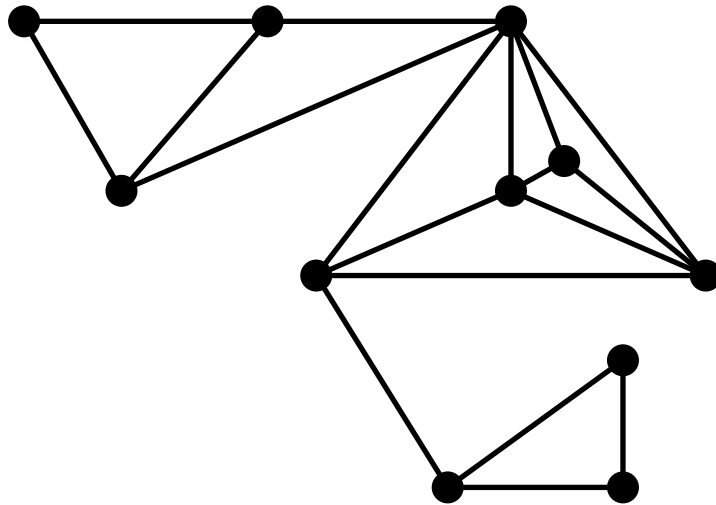
The 3-connected components of a 2-connected graph can also be defined, but the details are a little more involved. However, 4-connected components cannot be defined in general.

Our class of graphs



Chordal graphs with
tree-width at most t

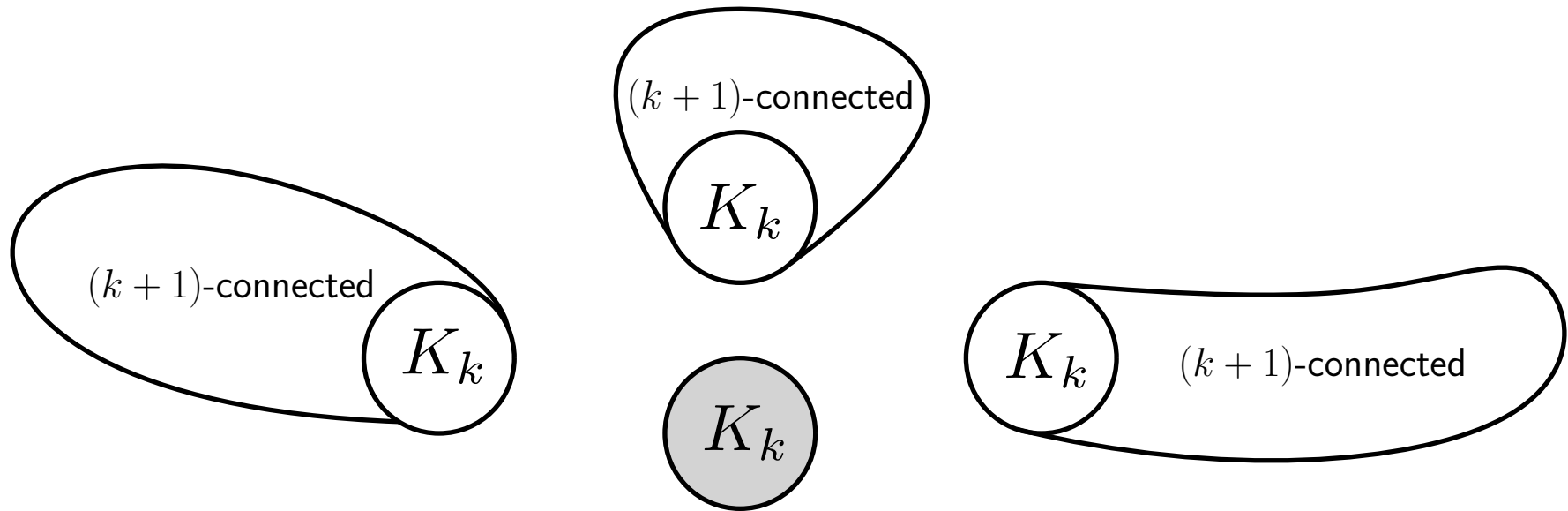
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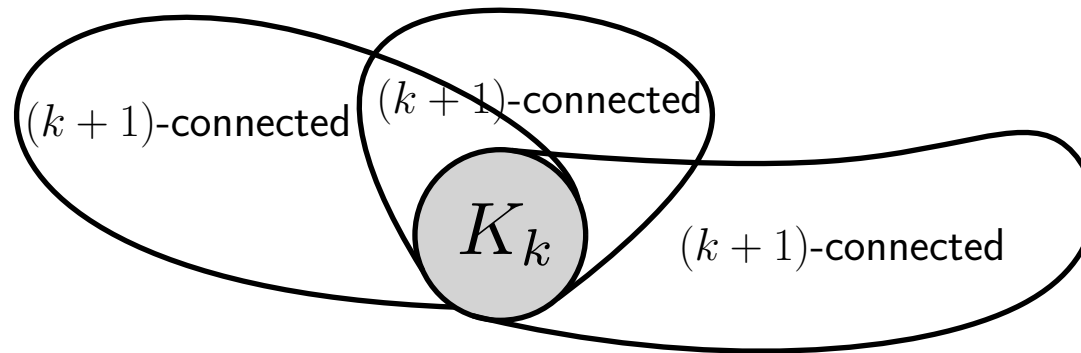
[Wormald (1985)]: they admit a decomposition into k -connected components.

Our class of graphs



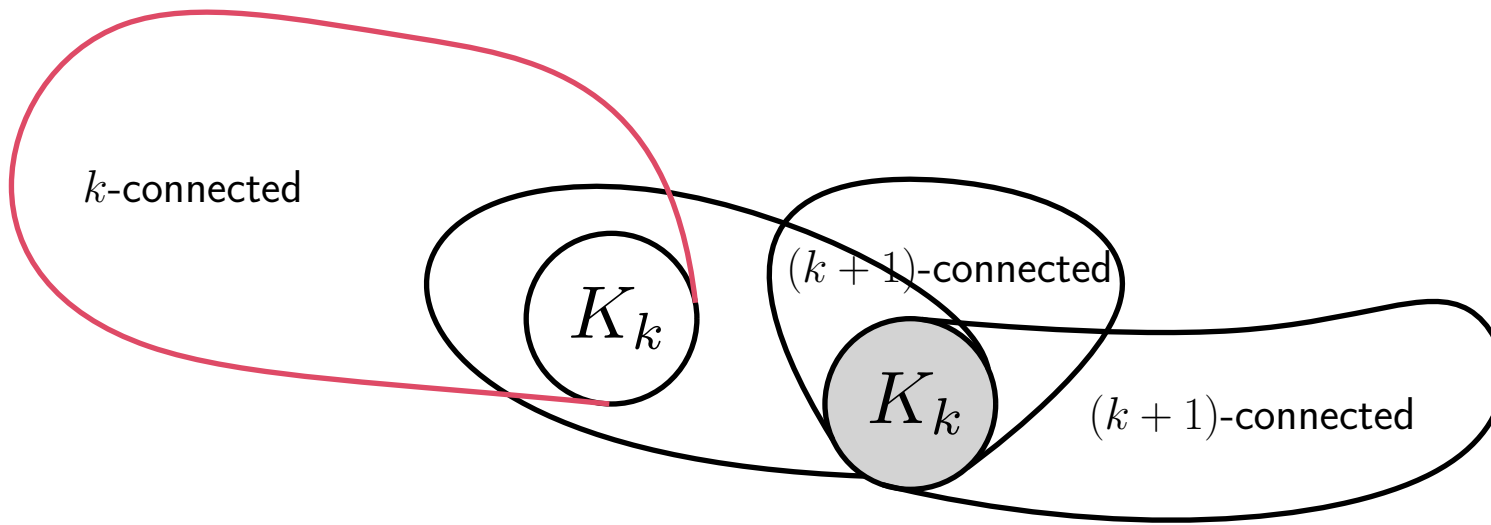
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Our class of graphs



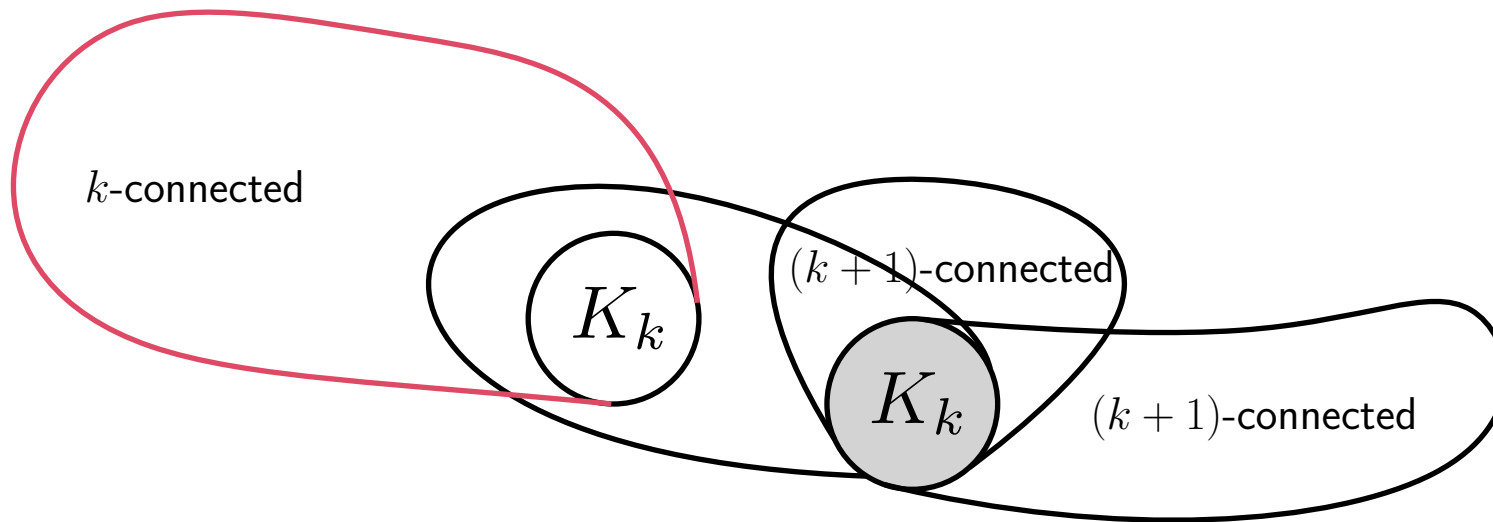
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[C., Drmota, Noy & Requilé (2023)]: asymptotic enumeration of the labeled class.

$$|\mathcal{G}_{t,n}| \sim c_t \cdot n^{-5/2} \cdot \gamma_t^n \cdot n! \quad \text{as } n \rightarrow \infty,$$

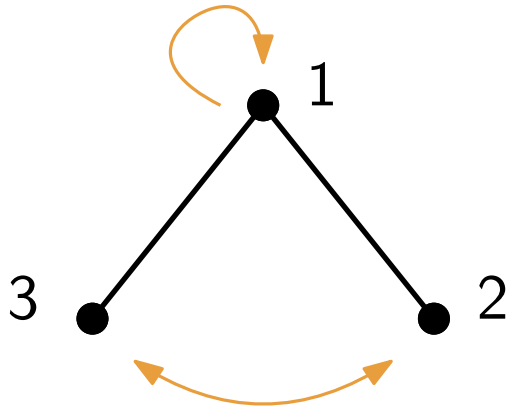
for some $c_t > 0$ and $\gamma_t > 1$

An extension of Pólya theory

We need to take into account **cycles of cliques**, not just vertices.

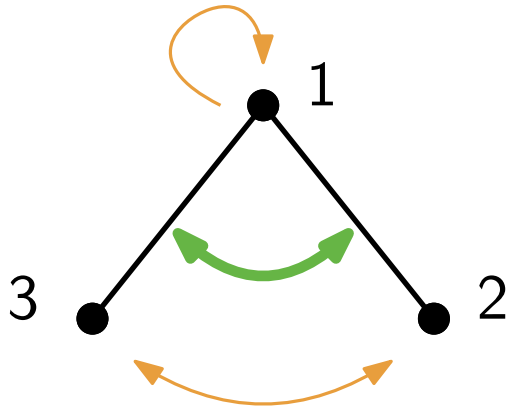
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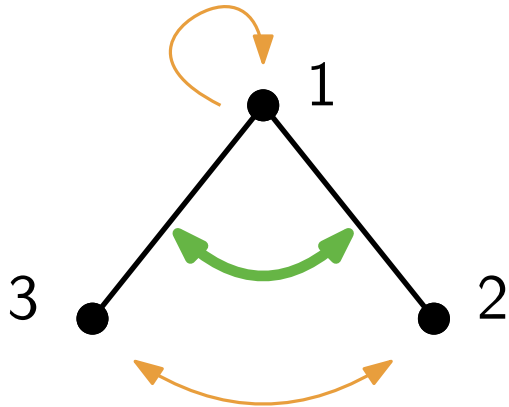
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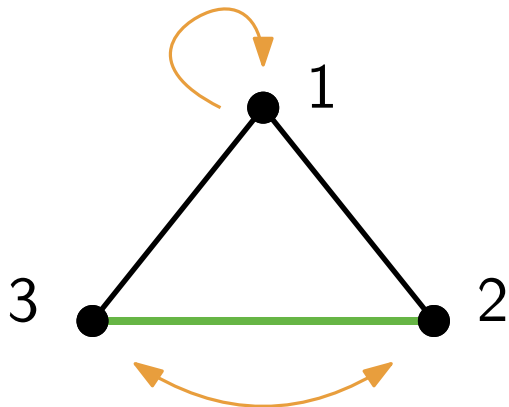
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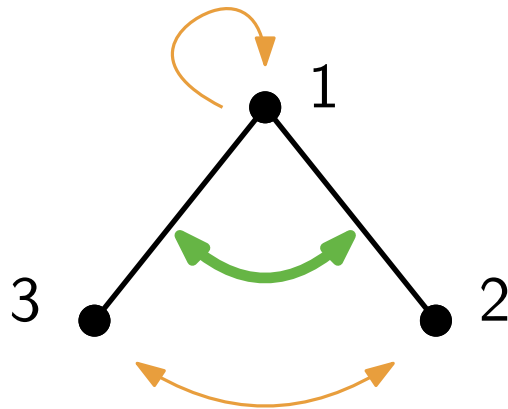
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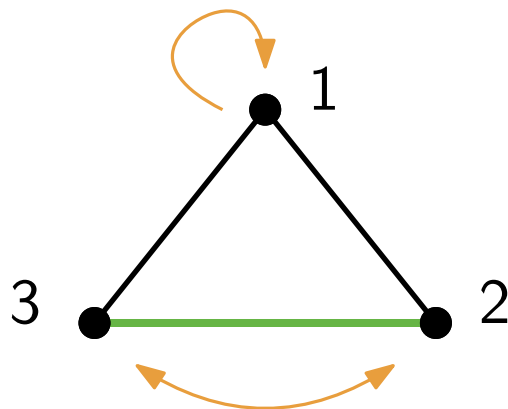
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What we do:

- Refinement of cycle index sums to encode cycles of cliques.
- Extend cycle-pointing to cycles of cliques.

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This talk: generalisation of previous results.

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Future:

- [C., Drmota & Requilé (soon?)]: asymptotic enumeration of unlabeled chordal graphs with bounded tree-width and unlabeled chordal planar graphs.

The system

$$\left\{ \begin{array}{l} X_{\mathcal{G}_{t,k+1}}^{\lambda} = \frac{k!}{\alpha(\lambda)\kappa(\lambda)} \frac{\partial}{\partial s_{\lambda,1}} X_{\mathcal{G}_{t,k+1}}, \\ X_{\mathcal{G}_{t,k}}^{\lambda} = Z_{\text{SET}}(s_j \rightarrow (X_{\mathcal{G}_{t,k+1} \circ_k \mathcal{G}_{t,k}}^{\lambda^j})^{[j]})_{j \geq 1}, \\ X_{\mathcal{G}_{t,k+1} \circ_k \mathcal{G}_{t,k}}^{\lambda} = X_{\mathcal{G}_{t,k+1}}^{\lambda} (s_{\mu,j} \rightarrow (X_{\mathcal{G}_{t,k}}^{\mu})^{[j]})_{\mu \vdash k, j \geq 1}, \\ X_{\mathcal{G}_{t,k}^{\bullet_k}} = \sum_{\mu \vdash k} \frac{\alpha(\mu)\kappa(\mu)}{k!} t_{\mu,1} X_{\mathcal{G}_{t,k}}^{\mu} + X_{(\mathcal{G}_{t,k})_{\geq 2}^{\bullet_k}}, \\ X_{(\mathcal{G}_{t,k})_{\geq 2}^{\bullet_k}} = X_{(\mathcal{G}_{t,k+1})_{\geq 2}^{\bullet_k}} (s_{\mu,j} \rightarrow (X_{\mathcal{G}_{t,k}}^{\mu})^{[j]}, t_{\mu,j} \rightarrow (X_{(\mathcal{G}_{t,k})_{\geq 2}^{\bullet_k}}^{\mu})^{[j]})_{\mu \vdash k, j \geq 1} \\ \quad + \sum_{\mu \vdash k} \frac{\alpha(\mu)\kappa(\mu)}{k!} s_{\mu,1} Z_{\text{SET}_{\geq 2}^{\bullet_k}}(s_j \rightarrow (X_{\mathcal{G}_{t,k+1} \circ_k \mathcal{G}_{t,k}}^{\mu^j})^{[j]}, \\ \quad \quad \quad t_j \rightarrow (X_{(\mathcal{G}_{t,k+1} \circ_k \mathcal{G}_{t,k})_{\geq 2}^{\bullet_k}}^{\mu^j})^{[j]})_{j \geq 1}, \\ X_{\mathcal{G}_{t,k}} = \sum_{\lambda \vdash k} \sum_{1 \leq j \leq \binom{t+1}{k}} \int \frac{1}{j t_{\lambda,j}} X_{\mathcal{G}_{t,k}^{\bullet_k}}(S(\lambda, j) \rightarrow 0, T(\lambda, j) \rightarrow 0) ds_{\lambda,j} \end{array} \right.$$